

# THE SPACE OF POINT HOMOTOPIC MAPS INTO THE CIRCLE<sup>(1)</sup>

BY

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**1. Introduction.** The space  $C(X)$  of real bounded continuous functions on a topological space has been studied extensively ([9], [7], [6]<sup>(2)</sup>, etc.). More recently some of this theory has been extended to the space of functions into certain Banach spaces [5].

In the present paper, we consider the space of point-homotopic continuous maps into the circle. The circle,  $R_{2q}$  (reals mod  $2q$ ), can be made into an abelian group, complete under an invariant metric. Then  $R_{2q}(X)$ , the space of point-homotopic continuous functions from  $X$  into  $R_{2q}$ , is in a natural way an abelian group, complete under an invariant metric. We give a characterization of  $R_{2q}(X)$ , for  $X$  a compact connected space, as an abelian group, complete under an invariant metric (Theorem 6.4), and a proof that for compact  $X$ , the metric group properties of  $R_{2q}(X)$  determine the topology on  $X$  (Theorem 7.1).

The characterization is obtained by imposing conditions which insure the existence of a pseudo-multiplication by scalars (Theorem 2.2), and the existence of sufficiently many "characters" of the group (Theorems 3.7, 3.10 and 3.11). The points of  $X$  are found among the "characters" of the group by investigating certain Banach spaces associated with the group (§4). Certain new linear functionals are defined and a Banach space characterization of  $C(X)$ , for compact  $X$ , is given (Theorem 5.4). That the metric group properties of  $R_{2q}(X)$  determine the topology on a compact  $X$  follows quickly from the similar theorem for Banach spaces [10].

**2. Some metric group properties of  $R_{2q}(X)$ .** The circle  $R_{2q}$  is taken to be the factor group of the reals  $R$  by the subgroup  $I_{2q} = \{n(2q)\}$  where  $n$  is any integer. Thus  $R_{2q}$  is an abelian group. We denote by  $j$  the natural homomorphism of  $R$  onto  $R_{2q}$ . ( $j_{2q}$  would be more precise. However, no confusion results from the omission of the subscript.) We define  $j^{-1}: R_{2q} \rightarrow R$  by  $j^{-1}(a) = \alpha$  such that  $-q < \alpha \leq q$  and  $j(\alpha) = a$ . It follows immediately that

$$j(j^{-1}(a)) = a$$

and that for  $|\alpha| < q$ ,  $j^{-1}(j(\alpha)) = \alpha$ .

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(<sup>2</sup>) Numbers in brackets refer to the bibliography at the end of this paper.

If we define  $\rho(a) = |j^{-1}(a)|$ , then the function  $d(a, b) = \rho(a - b)$  is an invariant metric on  $R_{2q}$  under which  $R_{2q}$  is complete. The space of all continuous functions from a topological space  $X$  into  $R_{2q}$ , denoted by  $R_{2q}^X$ , is made into a metric abelian group by defining

$$(f_1 + f_2)(x) = f_1(x) + f_2(x), \quad \rho(f) = \sup_{x \in X} \{\rho(f(x))\}, \quad \text{and} \quad d(f_1, f_2) = \rho(f_1 - f_2).$$

The metric is invariant, convergence is uniform convergence, and  $R_{2q}^X$  is complete. Now the space of point-homotopic continuous functions from  $X$  into  $R_{2q}$ ,  $R_{2q}(X)$ , is the component of the identity in  $R_{2q}^X$  [4]. Thus it is a closed subgroup of  $R_{2q}^X$ , and it too is an abelian group complete under an invariant metric. Moreover, for each  $\epsilon > 0$ ,  $U_\epsilon = \{f \in R_{2q}(X) \mid \rho(f) < \epsilon\}$  generates  $R_{2q}(X)$ . In what follows, the word group will denote an abelian group, complete under an invariant metric, and generated by  $U_\epsilon = \{a \mid \rho(a) < \epsilon\}$  for each  $\epsilon > 0$ . In addition we assume, with no loss of generality, that  $q \geq 1$ .

**DEFINITION 2.1.** If  $\alpha \in R$  and  $a \in U_1 \subset R_{2q}$ , then  $\alpha a = j(\alpha j^{-1}(a))$ .

This pseudo-multiplication by real scalars can be extended to  $U_1 \subset R_{2q}(X)$  by defining  $(\alpha f)(x) = \alpha(f(x))$ . Since each operation used in Definition 2.1 is continuous ( $j^{-1}$  is continuous on  $U_1$ ), the function  $\alpha f \in R_{2q}^X$ . Moreover  $\{tf\}$  for  $0 \leq t \leq \alpha$  is a homotopy from  $\theta(x) \equiv \theta^{(3)} = j(0)$  to  $\alpha f$  and so  $\alpha f \in R_{2q}(X)$ .

Some of the properties of scalar multiplication in a Banach space are preserved by this pseudo-multiplication. Thus it can be readily verified that, for  $\alpha, \beta \in R$  and  $a, b \in U_1 \subset R_{2q}(X)$ , the following relations hold.

- (P1)  $|\alpha| \rho(a) < 1 \rightarrow \beta(\alpha a) = (\beta \alpha)(a)$ .
- (P2)  $(\alpha + \beta)a = \alpha a + \beta a$ .
- (P3)  $\rho(a) + \rho(b) < 1 \rightarrow \alpha(a + b) = \alpha a + \alpha b$ .
- (P4)  $|\alpha| \rho(a) < 1 \rightarrow \rho(\alpha a) = |\alpha| \rho(a)$ .
- (P5)  $1a = a$ .

**DEFINITION 2.2.** A group  $G$  is a pseudo-Banach space if a multiplication by reals can be defined on  $U_1 \subset G$  which satisfies P1–P5.

Thus we have

**THEOREM 2.1.**  $R_{2q}(X)$  is a pseudo-Banach space.

The next theorem shows that the property of being a pseudo-Banach space is a metric group property.

**THEOREM 2.2.** A group  $G$  is a pseudo-Banach space if and only if, for each  $a \in U_1 \subset G$ , there exists a unique isomorphic isometry,  $i_a: [0, \rho(a)] \rightarrow G$  such that  $i_a(\rho(a)) = a$ .  $\{[0, \rho(a)]$  represents the closed interval in  $R$  with end points at 0 and  $\rho(a)$ . The isomorphism applies whenever  $\alpha, \beta$  and  $\alpha + \beta$  all belong to  $[0, \rho(a)]$ .

**Proof.** (a) Suppose  $G$  is a pseudo-Banach space. For each  $a \in U_1 \subset G$ , de-

(<sup>3</sup>) The symbol  $\theta$  will denote the identity element in a group. The symbol 0 will be reserved for the zero of the reals.

fine  $i_a(\alpha) = (\alpha/\rho(a))a$ . Then by P5,  $i_a(\rho(a)) = a$ ; by P2,  $i_a$  is an isomorphism; and by P4,  $i_a$  is an isometry. Now suppose  $i'_a$  is another such map. It follows from P5 and P2 that for  $m$  any positive integer  $ma = \sum_{i=1}^m a$ . Thus for  $m$  and  $n$  positive integers such that  $m \leq n$  we have  $i_a(m\rho(a)/n) = (m/n)a = (m/n)(i'_a(\rho(a))) = (m/n)(ni'_a(\rho(a)/n))$  and  $i'_a(m\rho(a)/n) = mi'_a(\rho(a)/n)$ . But by P1,  $mi'_a(\rho(a)/n) = (m/n)(ni'_a(\rho(a)/n))$ . Thus  $i_a$  and  $i'_a$  are equal on a dense set of  $[0, \rho(a)]$  and since they are isometries they must be identical<sup>(4)</sup>.

(b) Suppose  $i_a$  is a unique isomorphic isometry taking  $\rho(a)$  into  $a$ . For  $\alpha \in R$  and  $\alpha > 0$  define  $\bar{\alpha}$  to be the smallest integer such that  $\bar{\alpha} \geq \alpha$ . Define

$$\begin{aligned} \alpha a &= \bar{\alpha} \left[ i_a \left( \frac{\alpha}{\bar{\alpha}} \rho(a) \right) \right] & \text{for } \alpha > 0, \\ \alpha a &= \theta & \text{for } \alpha = 0, \\ \alpha a &= -((-\alpha)a) & \text{for } \alpha < 0. \end{aligned}$$

Since inverses and multiplication by integers are well defined in any group, the preceding definitions give a precise meaning to  $\alpha a$ .

The proof that this multiplication satisfies P1–P5 involves much intricate detail and is not given here. It may be found in the author's dissertation.

**LEMMA 2.1.** *If  $G$  is a pseudo-Banach space, then for each  $b \in G$  and each  $\epsilon$  such that  $0 < \epsilon \leq 1$ , there exists  $\alpha \in R$  and  $a \in U_\epsilon$  such that  $\alpha a = b$ .*

**Proof.** Since  $U_\epsilon$  generates  $G$ , there exist elements  $a_1, \dots, a_n$  in  $U_\epsilon$  such that  $b = \sum_{i=1}^n a_i$ . Choose  $\alpha \geq n$  and let  $a = \sum_{i=1}^n (1/\alpha)a_i$ . Then

$$\rho(a) \leq \sum_{i=1}^n \rho((1/\alpha)a_i).$$

By P4,  $\rho((1/\alpha)a_i) = (1/\alpha)\rho(a_i)$  and so

$$\rho(a) \leq \sum_{i=1}^n \rho\left(\frac{1}{\alpha}a_i\right) = \sum_{i=1}^n \frac{1}{\alpha} \rho(a_i) < \frac{n\epsilon}{\alpha} \leq \epsilon.$$

Thus  $a \in U_\epsilon$ . Moreover  $\sum_{i=1}^n \rho((1/\alpha)a_i) < \epsilon \leq 1$  and so by repeated application of P3  $\alpha a = \sum_{i=1}^n \alpha((1/\alpha)a_i)$ . But by P1,  $\alpha((1/\alpha)a_i) = a_i$  and so  $\alpha a = \sum_{i=1}^n a_i = b$ .

**LEMMA 2.2.** *If  $G$  is a pseudo-Banach space, and if for  $a \in U_1$  and  $\alpha \in R$  and different from zero,  $\alpha a = \theta$ , then either  $a = \theta$  or  $\rho(a) \geq 2/|\alpha|$ .*

**Proof.** If  $\theta = \alpha a = (\alpha/2 + \alpha/2)a$  then, by P2,  $(\alpha/2)a = -((\alpha/2)a) = (-\alpha/2)a$  and  $(1/2)((\alpha/2)a) = (1/2)((-\alpha/2)a)$ . Now if  $\rho(a) < 2/|\alpha|$ , then  $|\alpha/2|\rho(a) < 1$ , and we have by P1 that  $(1/2)((\alpha/2)a) = (\alpha/4)a$  and  $(1/2)((-\alpha/2)a)$

(4) Since P3 was not used in establishing the existence and uniqueness of  $i_a$ , the proof of sufficiency will prove that P3 is a consequence of P1, P2, P4, and P5. This can easily be established directly.

$=(-\alpha/4)a$  and  $(\alpha/4)a=(-\alpha/4)a=-(\alpha/4)a$ . Thus  $\theta=(\alpha/4)a+(\alpha/4)a=(\alpha/2)a$ . But by P4,  $\rho((\alpha/2)a)=|\alpha/2|\rho(a)$  and if this is zero,  $\rho(a)=0$  and  $a=\theta$ .

**LEMMA 2.3.** *If  $G$  a pseudo-Banach space, the map of  $R \times U_1 \rightarrow G$  given by  $(\alpha, a) \rightarrow \alpha a$  is continuous.*

**Proof.** We show that the neighborhood  $V$  of  $(\alpha_0, a_0)$  defined by  $V = \{(\alpha, a) \mid |\alpha - \alpha_0| < \min [\epsilon/2, 1/\rho(a)] \text{ and } \rho(a - a_0) < \min [\epsilon/2|\alpha_0|, 1/|\alpha_0|, 1 - \rho(a_0)]\}$  maps into the  $\epsilon$  neighborhood of  $\alpha_0 a_0$ .

For  $(\alpha, a) \in V$

$$\begin{aligned}\alpha a - \alpha_0 a_0 &= \alpha(a - a_0) + \alpha a_0 - \alpha_0 a_0 = \alpha(a - a_0) + (\alpha - \alpha_0)a_0 \\ &= \alpha_0(a - a_0) + (\alpha - \alpha_0)(a - a_0) + (\alpha - \alpha_0)a_0 \\ &= \alpha_0(a - a_0) + (\alpha - \alpha_0)a\end{aligned}$$

by P3, P2, P2, and P3 respectively. Then  $\rho(\alpha a - \alpha_0 a_0) \leq \rho(\alpha_0(a - a_0)) + \rho((\alpha - \alpha_0)a) < \epsilon/2 + \epsilon/2 < \epsilon$  by P1 and P4.

In what follows we shall use properties P1-P5 without specific reference, taking care always that the hypotheses of the statements are satisfied.

**DEFINITION 2.3.** An element  $h \in G$  is a root of unity if there exists an integer  $n$  such that  $nh = \theta$ . The set of roots of unity we denote by  $H$  and the closure of  $H$  in  $G$  by  $\bar{H}$ .

$H$  and  $\bar{H}$  are subgroups of  $G$  in the usual sense.

The elements of  $\bar{H} \subset R_{2q}(X)$  have special metric properties as well. The following lemma makes this explicit for the case where  $X$  is a connected space.

**LEMMA 2.4.** *If  $X$  is connected, then  $h \in \bar{H} \subset R_{2q}(X)$  is a constant function and if  $\rho(h) < 1$ , then for  $g \in R_{2q}(X)$  such that  $\rho(h) + \rho(g) < 1$  we have either  $\rho(h+g) = \rho(h) + \rho(g)$  or  $\rho(h-g) = \rho(h) + \rho(g)$ .*

**Proof.** Suppose  $h \in \bar{H} \subset R_{2q}(X)$ . Then there exists an integer  $n$  such that  $n(h(x)) \equiv \theta$  for all  $x \in X$ . Thus  $h(X) \subset A = \{a \in R_{2q} \mid na = \theta\}$ . But  $h(X)$  is connected while the set  $A$  is discrete and so  $h$  is a constant function. The definition of the metric then implies that the elements of  $\bar{H}$  are constant functions. From this fact plus the definition of the function  $\rho$ , the second part follows immediately.

We are led to the following definitions.

**DEFINITION 2.4.** If  $\rho(a) + \rho(b) < 1$  and if  $\rho(a) + \rho(b) = \rho(a+b)$ , then the pair  $\{a, b\}$  is positive.

**DEFINITION 2.5.** If  $a \in U_1 \subset G$  and if for all  $b \in U_{1-\rho(a)} \subset G$  either  $\{a, b\}$  or  $\{a, -b\}$  is positive, then  $a$  is a constant of  $G$ .

**DEFINITION 2.6.** A pseudo-Banach space  $G$  is a space with constants if  $\bar{H} \neq \{\theta\}$ <sup>(6)</sup> and if all the elements of  $\bar{H} \cap U_1$  are constants of  $G$ .

<sup>(6)</sup> We assume  $\bar{H} \neq \{\theta\}$ , as otherwise  $G$  is essentially a Banach space.

**THEOREM 2.3.** *If  $X$  is a connected space, then  $R_{2q}(X)$  is a space with constants.*

**Proof.** Theorem 2.1 and Lemma 2.4.

**3. Subspaces and characters.** A basic theorem in the classification of Banach spaces is that every Banach space  $B$  is equivalent to a closed subspace of  $C(X)$  for some compact topological space  $X$  [1]. The points of  $X$  are found among the continuous linear functionals on  $B$ . The existence of sufficiently many such functionals is assured by the Hahn-Banach theorem [3, p. 55]. In this section we prove that under modified definitions of equivalence and subspace, every space with constants is equivalent to a subspace of  $R_{2q}(X)$  for some  $q \geq 1$  and for some compact connected space  $X$ .

**DEFINITION 3.1.** Two groups  $G$  and  $\widehat{G}$  are equivalent if there is an isomorphism  $I: G \rightarrow \widehat{G}$  such that  $I$  is an isometry on  $U_1$  and such that  $I(U_1) = \widehat{U}_1$ . {For this definition we do not require that  $G$  and  $\widehat{G}$  be complete.} It is clear that the relation of equivalence is symmetric, reflexive, and transitive.

**DEFINITION 3.2.** A subset  $G'$  of a pseudo-Banach space  $G$  is a subspace of  $G$  if  $G'$  is a subgroup (in the ordinary sense) and if, for  $\alpha \neq 0$  and  $a \in U_1 \subset G$ ,  $\alpha a \in G'$  if and only if  $a \in G'$ .

**DEFINITION 3.3.** If  $G'$  is a subspace of a pseudo-Banach space  $G$ , then  $L: G' \rightarrow R_{2q}$  is a character of  $G'$  if

$$(P'1) \quad L(a+b) = L(a) + L(b),$$

$$(P'2) \quad |j^{-1}(L(a))| \leq \rho(a) \text{ whenever } \rho(a) < 1,$$

$$(P'3) \quad L(\alpha a) = \alpha L(a) \text{ whenever } \rho(a) < 1.$$

From the definitions it is clear that  $G'$  may be all of  $G$ .

**THEOREM 3.1.** *The characters of a subspace  $G'$  of a pseudo-Banach space  $G$  are continuous on  $G'$ .*

**Proof.** By  $P'1$ ,  $L$  is a homomorphism. But for  $0 < \epsilon < 1$  and  $a \in U_\epsilon \cap G'$ ,

$$|j^{-1}(L(a))| < \epsilon$$

and so  $L$  is continuous at the identity and therefore continuous on  $G'$ .

**THEOREM 3.2.** *If  $G'$  is a subspace of a pseudo-Banach space  $G$  and if  $L: G' \rightarrow R_{2q}$  satisfies  $P'1$  and  $P'2$ , then  $L$  is a character of  $G'$ .*

**Proof.** For  $a \in U_1 \cap G'$  and  $n$  any positive integer,  $n((1/n)a) = a$ . Then for  $m$  any integer  $P'1$  gives  $(m/n)L(a) = (m/n)L(n((1/n)a)) = (m/n)(nL((1/n)a))$ . But  $R_{2q}$  is itself a pseudo-Banach space and by  $P'2$   $n\rho(L((1/n)a)) = n|j^{-1}(L((1/n)a))| \leq n\rho((1/n)a) = \rho(a) < 1$ . Thus we have  $(m/n)(nL((1/n)a)) = mL((1/n)a) = L((m/n)a)$  by  $P'1$ , and  $(m/n)L(a) = L((m/n)a)$ . By Theorem 3.1 and Lemma 2.3 both  $\alpha L(a)$  and  $L(\alpha a)$  are continuous in  $\alpha$ . Since they are equal on a dense set of  $R$ , they are equal for all  $\alpha \in R$  and  $L$  satisfies  $P'3$  on  $G'$ .

The usual boundedness restriction for linear functionals on a Banach

space would translate here to  $|j^{-1}(L(a))| \leq M\rho(a)$ . However, this plus P'1 does not imply P'3. The proof uses strongly that  $M=1$  and the theorem is false without it. For let  $G' \subset R_2([0, 1])$  be the set  $\{f \in R_2([0, 1]) | f(x) = j(\alpha + \beta x)\}$  and define  $L(j(\alpha + \beta x)) = j(\alpha - (3/2)\beta)$ . It is easily verified that  $L$  satisfies P'1 and that  $|j^{-1}L(f)| \leq 4\rho(f)$ . However,

$$(1/2)L(j(-1/2 + x)) = (1/2)j(-1/2 - 3/2) = (1/2)j(-2) = (1/2)\theta = \theta,$$

$$\text{while } L((1/2)j(-1/2 + x)) = L(j(1/2)j^{-1}j(-1/2 + x)) = L(j(-1/4 + (1/2)x)) \\ = j(-1/4 - 3/4) = j(-1) \neq \theta.$$

Since in the construction of characters we have no other way of insuring that P'3 be satisfied we must use the stronger form given by P'2.

**THEOREM 3.3.** *If  $G'$  is a subspace of a pseudo-Banach space  $G$  and  $L'$  is a character of  $G'$ , then there exists a character  $L$  of  $G$  such that  $L=L'$  on  $G'$ .*

**Proof.** The proof is a modification of the similar theorem for Banach spaces [3, p. 28]. If  $G'=G$  we are through. If  $G' \neq G$ , there exists an element  $a \in (G-G') \cap U_{1/2}$ , since  $U_{1/2}$  generates  $G$ . For  $b_1$  and  $b_2$  any elements of  $G' \cap U_{1/2}$  and for  $\beta_1$  and  $\beta_2$  real numbers such that  $0 < \beta_i \leq 1$  and  $\beta = \min(\beta_1, \beta_2)$  we have, by P'1 and P'2, that

$$j^{-1} \left\{ L' \left( \frac{\beta}{\beta_1} b_1 \right) - L' \left( \frac{\beta}{\beta_2} b_2 \right) \right\} = j^{-1} \left\{ L' \left( \frac{\beta}{\beta_1} b_1 - \frac{\beta}{\beta_2} b_2 \right) \right\} \\ \leq \rho \left( \frac{\beta}{\beta_1} b_1 - \frac{\beta}{\beta_2} b_2 \right),$$

since

$$\left| j^{-1} \left( L' \left( \frac{\beta}{\beta_1} b_1 \right) \right) \right| + \left| j^{-1} \left( L' \left( \frac{\beta}{\beta_2} b_2 \right) \right) \right| < 1,$$

$$j^{-1} \left\{ L' \left( \frac{\beta}{\beta_1} b_1 \right) - L' \left( \frac{\beta}{\beta_2} b_2 \right) \right\} = j^{-1} \left\{ L' \left( \frac{\beta}{\beta_1} b_1 \right) \right\} - j^{-1} \left\{ L' \left( \frac{\beta}{\beta_2} b_2 \right) \right\}$$

and so

$$j^{-1} \left\{ L' \left( \frac{\beta}{\beta_1} b_1 \right) \right\} - j^{-1} \left\{ L' \left( \frac{\beta}{\beta_2} b_2 \right) \right\} \leq \rho \left( \frac{\beta}{\beta_1} b_1 - \frac{\beta}{\beta_2} b_2 \right) \\ \leq \rho \left( \frac{\beta}{\beta_1} b_1 + \beta a \right) + \rho \left( \frac{\beta}{\beta_2} b_2 + \beta a \right)$$

and so

$$-\frac{\beta}{\beta_2} \rho(b_2 + \beta_2 a) - \frac{\beta}{\beta_2} j^{-1}(L'(b_2)) \leq \frac{\beta}{\beta_1} \rho(b_1 + \beta_1 a) - \frac{\beta}{\beta_1} j^{-1}(L'(b_1)).$$

Dividing by  $\beta$  gives

$$(3.1) \quad -\frac{1}{\beta_2} \rho(b_2 + \beta_2 a) - \frac{1}{\beta_2} j^{-1}(L'(b_2)) \leq \frac{1}{\beta_1} \rho(b_1 + \beta_1 a) - \frac{1}{\beta_1} j^{-1}(L'(b_1)).$$

Since (3.1) holds for all  $\beta_1, \beta_2, b_1$ , and  $b_2$  we have

$$(3.2) \quad m = \text{l.u.b.}_{b_2, \beta_2} \left\{ -\frac{1}{\beta_2} \rho(b_2 + \beta_2 a) - \frac{1}{\beta_2} j^{-1}(L'(b_2)) \right\} \\ \leq \text{g.l.b.}_{b_1, \beta_1} \left\{ \frac{1}{\beta_1} \rho(b_1 + \beta_1 a) - \frac{1}{\beta_1} j^{-1}(L'(b_1)) \right\} = M.$$

Let  $G'' = \{c \in G \mid c = \gamma a + b \text{ for any } \gamma \in R \text{ and } b \in G'\}$ . For a fixed  $c \in G''$ ,  $\gamma$  and  $b$  are uniquely determined. If  $\gamma a + b = \gamma' a + b'$ , then  $(\gamma - \gamma')a = b' - b \in G'$ . But  $a \notin G'$  and  $G'$  is a subspace, thus  $\gamma = \gamma'$  and so  $b = b'$ .

Choose  $\alpha \in R$  such that  $m \leq \alpha \leq M$  and define  $L'': G'' \rightarrow R_{2q}$  by  $L''(c) = j(\gamma\alpha) + L'(b)$ .

We show that  $G''$  is a subspace and that  $L''$  is a character of  $G''$ . That  $G''$  properly contains  $G'$  and that  $L'' = L'$  on  $G'$  is immediate.

$G''$  is clearly a subgroup (in the usual sense). Suppose  $c \in G'' \cap U_1$  and  $0 \neq \delta \in R$ . Let  $\eta = 2 \max(|\delta|, (1/2)|\delta\gamma|)$ . Then  $(\eta/\delta)((\delta/\eta)c - (\delta\gamma/\eta)a) = c - \gamma a = b \in G'$ . Therefore  $(\delta/\eta)c - (\delta\gamma/\eta)a \in G'$  and  $\eta((\delta/\eta)c - (\delta\gamma/\eta)a) = \delta c - (\delta\gamma)a \in G'$ , and so  $\delta c \in G''$ . Now suppose  $\rho(c) < 1$ ,  $0 \neq \delta \in R$ , and that  $\delta c \in G''$ . Then  $\delta c = \gamma'a + b'$ . Let  $\eta = \max(2, |\gamma'/\delta|)$ . Then  $\eta\delta((1/\eta)c - (\gamma'/\eta\delta)a) = b' \in G'$  and  $(1/\eta)c - (\gamma'/\eta\delta)a \in G'$ , so that

$$\eta((1/\eta)c - (\gamma'/\eta\delta)a) = c - (\gamma'/\delta)a \in G', \quad \text{and} \quad c \in G''.$$

Thus we have proved that  $G''$  is a subspace.

Now  $L''(c_1 + c_2) = L''(\gamma_1 a + b_1 + \gamma_2 a + b_2) = L''((\gamma_1 + \gamma_2)a + (b_1 + b_2)) = j((\gamma_1 + \gamma_2)\alpha) + L'(b_1 + b_2) = j(\gamma_1\alpha) + L'(b_1) + j(\gamma_2\alpha) + L'(b_2) = L''(c_1) + L''(c_2)$  and P'1 is satisfied. Now suppose  $c = \gamma a + b \in G''$  and  $\rho(c) < 1$ . If  $\gamma = 0$ , P'2 is immediate. If  $\gamma \neq 0$ , let  $\delta = \max(2, |4/\gamma|)$ . Then  $\delta\gamma\{(1/\delta\gamma)c - (1/\delta)a\} = c - \gamma a = b \in G'$  and so  $(1/\delta\gamma)c - (1/\delta)a \in G'$ . Moreover  $\rho((1/\delta\gamma)c - (1/\delta)a) < 1/4 + 1/4 = 1/2$ . Thus in (3.1) we may put  $b_1 = b_2 = (1/\delta\gamma)c - (1/\delta)a$  and  $\beta_1 = \beta_2 = (1/\delta)$ . We get

$$-\delta\rho\left(\frac{1}{\delta\gamma}c\right) - \delta\left\{j^{-1}\left(L'\left(\frac{1}{\delta\gamma}c - \frac{1}{\delta}a\right)\right)\right\} \leq m \leq \alpha \leq M \\ \leq \delta\rho\left(\frac{1}{\delta\gamma}c\right) - \delta\left\{j^{-1}\left(L'\left(\frac{1}{\delta\gamma}c - \frac{1}{\delta}a\right)\right)\right\}$$

and so  $|\alpha/\delta + j^{-1}(L'((1/\delta\gamma)c - (1/\delta)a))| \leq \rho((1/\delta\gamma)c) = (1/|\delta\gamma|)\rho(c)$  and  $|\gamma\alpha + \delta\gamma j^{-1}(L'((1/\delta\gamma)c - (1/\delta)a))| \leq \rho(c) < 1$ . But  $j^{-1}(j(\beta)) = \beta$  for  $|\beta| \leq 1$  and so

$$\begin{aligned}
 \rho(c) &\geq \left| j^{-1} \left\{ j(\gamma\alpha) + j \left( \delta\gamma j^{-1} \left( L' \left( \frac{1}{\delta\gamma} c - \frac{1}{\delta} a \right) \right) \right) \right\} \right| \\
 &= \left| j^{-1} \left\{ j(\gamma\alpha) + \delta\gamma \left( L' \left( \frac{1}{\delta\gamma} c - \frac{1}{\delta} a \right) \right) \right\} \right| \\
 &= \left| j^{-1} \left\{ j(\gamma\alpha) + L' \left( \delta\gamma \left( \frac{1}{\delta\gamma} c - \frac{1}{\delta} a \right) \right) \right\} \right|
 \end{aligned}$$

as  $L'$  is a character on  $G'$  and satisfies P'3. Thus  $\rho(c) \geq |j^{-1}\{j(\gamma\alpha) + L'(b)\}| = |j^{-1}\{L''(c)\}|$  and  $L''$  satisfies P'2 on  $G''$ .

By Theorem 3.2,  $L''$  is a character of  $G''$ . Then by transfinite induction there exists a character  $L$  of  $G$  such that  $L=L'$  on  $G'$ .

Theorem 3.3 does not prove the existence of characters on a pseudo-Banach space  $G$ . We must first exhibit a subspace  $G'$  of  $G$  and a character of  $G'$ . At first glance, the real multiples of an element in  $U_1$  might seem to do for  $G'$ . But this is not necessarily a subspace of  $G$  (Corollary 1 to Theorem 3.5). We show, however, that  $\overline{H}$  is a subspace of  $G$  and that if  $G$  is a space with constants, there exists a character taking  $\overline{H}$  into  $R_{2q}$  for some  $q \geq 1$ .

**THEOREM 3.4.** *If  $G$  is a pseudo-Banach space,  $\overline{H}$  is a subspace of  $G$ .*

**Proof.** Suppose  $h \in \overline{H} \cap U_1$  and  $0 \neq \alpha \in R$ . Then there exist  $h_i \in H \cap U_1$  and integers  $p_i$  and  $q_i$  such that  $h_i \rightarrow h$  and  $p_i/q_i \rightarrow \alpha$ . Since  $h_i \in H$ , there exist integers  $n_i$  such that  $n_i h_i = \theta$ . Then  $n_i q_i ((p_i/q_i) h_i) = p_i (n_i h_i) = \theta$  and so  $(p_i/q_i) h_i \in H$ . But by Lemma 2.3,  $(p_i/q_i) h_i \rightarrow \alpha h$  and so  $\alpha h \in \overline{H}$ .

Now suppose  $0 \neq \alpha \in R$ ,  $h \in U_1$ , and  $\alpha h \in \overline{H}$ . If  $\alpha < 0$ , then  $\alpha h = -\{(-\alpha)h\}$  and  $(-\alpha)h \in \overline{H}$ . Thus we may assume  $\alpha > 0$ . There exist  $h_i \in H$  such that  $h_i \rightarrow \alpha h$ . Thus there exists an  $I$ , such that  $\rho(\alpha h - h_i) < 1$  whenever  $i \geq I$  and so  $(1/\bar{\alpha})(\alpha h - h_i)$  is defined for  $i \geq I$ . Moreover  $\bar{\alpha}[(\alpha/\bar{\alpha})h - (1/\bar{\alpha})(\alpha h - h_i)] = \alpha h - \alpha h + h_i \in H$  and since  $\bar{\alpha}$  is an integer,  $a_i = (\alpha/\bar{\alpha})h - (1/\bar{\alpha})(\alpha h - h_i) \in H$ . But  $a_i \rightarrow (\alpha/\bar{\alpha})h$  and so  $(\alpha/\bar{\alpha})h \in \overline{H}$ . Since  $(\alpha/\bar{\alpha})h \in U_1$ , by the first part of the proof  $(\bar{\alpha}/\alpha)((\alpha/\bar{\alpha})h) = h \in \overline{H}$ .

**LEMMA 3.1.** *If  $\{a, b\}$  is positive (Definition 2.4) and if  $\alpha \geq 0$ ,  $\beta \geq 0$ , and  $\alpha\rho(a) + \beta\rho(b) < 1$ , then  $\{\alpha a, \beta b\}$  is positive.*

**Proof.** For either  $\alpha$  or  $\beta$  equal to zero, the result is immediate. We assume  $\alpha \geq \beta > 0$ . Since  $\alpha\rho(a) + \beta\rho(b) < 1$ ,  $\alpha\rho(a) = \rho(\alpha a)$  and  $\beta\rho(b) = \rho(\beta b)$ . Thus  $(1/\alpha)\rho(\alpha a + \beta b) \leq (1/\alpha)(\rho(\alpha a) + \rho(\beta b)) = \rho(a) + (\beta/\alpha)\rho(b) \leq \rho(a) + \rho(b) < 1$ , and  $(1/\alpha)\rho(\alpha a + \beta b) = \rho((1/\alpha)(\alpha a + \beta b))$ . But  $\rho(\alpha a) + \rho(\beta b) < 1$  and so  $(1/\alpha)(\alpha a + \beta b) = (1/\alpha)(\alpha a) + (1/\alpha)(\beta b)$  and since  $\alpha\rho(a) < 1$  and  $\beta\rho(b) < 1$ ,  $(1/\alpha)(\alpha a + \beta b) = a + (\beta/\alpha)b$ . Now  $\rho(a + (\beta/\alpha)b) = \rho(a + b - (1 - \beta/\alpha)b) \geq \rho(a + b) - (1 - \beta/\alpha)\rho(b) = \rho(a) + \rho(b) - (1 - \beta/\alpha)\rho(b) = \rho(a) + (\beta/\alpha)\rho(b)$ . But the opposite inequality is always true and so  $\rho(a) + (\beta/\alpha)\rho(b) = \rho(a + (\beta/\alpha)b) = \rho((1/\alpha)(\alpha a + \beta b)) = (1/\alpha)\rho(\alpha a + \beta b)$ . Thus  $\rho(\alpha a + \beta b) = \alpha\rho(a) + \beta\rho(b) = \rho(\alpha a) + \rho(\beta b) < 1$  and so



$\{\alpha a, \beta b\}$  is positive.

**LEMMA 3.2.** *If  $G$  is a space with constants,  $h_1 \in \overline{H}$ ,  $h_2 \in \overline{H}$ , and  $\{h_1, h_2\}$  is positive, then  $\rho(h_1 - h_2) = |\rho(h_1) - \rho(h_2)|$ .*

**Proof.**  $(1/2)h_1 + (1/2)h_2 \in \overline{H} \cap U_{1/2}$  and is therefore a constant of  $G$ . Moreover  $\rho((1/2)h_1 + (1/2)h_2) + \rho((1/2)h_1 - (1/2)h_2) \leq \rho(h_1) + \rho(h_2) < 1$ , and so either  $\{(1/2)h_1 + (1/2)h_2, (1/2)h_1 - (1/2)h_2\}$  or  $\{(1/2)h_1 + (1/2)h_2, (1/2)h_2 - (1/2)h_1\}$  is positive. If the first pair is positive we have

$$\begin{aligned} \rho((1/2)h_1 + (1/2)h_2) + \rho((1/2)h_1 - (1/2)h_2) \\ = \rho((1/2)h_1 + (1/2)h_2 + (1/2)h_1 - (1/2)h_2) = \rho(h_1) \end{aligned}$$

Thus  $\rho((1/2)h_1 - (1/2)h_2) = \rho(h_1) - \rho((1/2)h_1 + (1/2)h_2) = (1/2)\rho(h_1) - (1/2)\rho(h_2)$  by Lemma 3.1. If the second pair is positive we have  $\rho((1/2)h_1 - (1/2)h_2) = (1/2)\rho(h_2) - (1/2)\rho(h_1)$ . Since  $\rho((1/2)h_1 - (1/2)h_2) \geq 0$  we have in either case that  $\rho((1/2)h_1 - (1/2)h_2) = (1/2)|\rho(h_1) - \rho(h_2)|$  and multiplication by 2 gives

$$\rho(h_1 - h_2) = |\rho(h_1) - \rho(h_2)|.$$

**THEOREM 3.5.** *If  $G$  is a space with constants,  $\theta \neq h \in \overline{H} \cap U_1$ , and  $h_0 \in \overline{H}$ , there exists  $\alpha \in R$  such that  $\alpha h = h_0$ . In particular if  $\rho(h_0) < 1$ , then  $h_0 = \pm(\rho(h_0)/\rho(h))h$ .*

**Proof.** By Lemma 2.1, there exist  $h'_0 \in U_{1-\rho(h)}$  and  $\beta \in R$  such that  $\beta h'_0 = h_0$ . Now  $h$  is a constant of  $G$  and so either  $\{h, h'_0\}$  or  $\{h, -h'_0\}$  is positive. If  $\{h, h'_0\}$  is positive, then by Lemma 3.1,  $\{(\rho(h'_0)/2\rho(h))h, (1/2)h'_0\}$  is positive. By Theorem 3.4, both these elements belong to  $\overline{H}$  and so by Lemma 3.2,

$$\begin{aligned} \rho\left(\frac{\rho(h'_0)}{2\rho(h)}h - \frac{1}{2}h'_0\right) &= \left|\rho\left(\frac{\rho(h'_0)}{2\rho(h)}h\right) - \rho\left(\frac{1}{2}h'_0\right)\right| \\ &= \left|\frac{1}{2}\rho(h'_0) - \frac{1}{2}\rho(h'_0)\right| = 0 \end{aligned}$$

and so

$$\frac{1}{2}h'_0 = \frac{\rho(h'_0)}{2\rho(h)}h \quad \text{and} \quad h_0 = 2\beta\left(\frac{1}{2}h'_0\right) = \frac{\beta\rho(h'_0)}{\rho(h)}h.$$

If  $\{h, -h'_0\}$  is positive we get  $h_0 = (-\beta\rho(h'_0)/\rho(h))h$  and the first part of the theorem is proved.

Now if  $\rho(h_0) < 1$ , we may choose  $h'_0 = (1 - \rho(h))h_0$  and  $\beta = (1/(1 - \rho(h)))$ . Then  $h_0 = \pm(\rho(h_0)/\rho(h))h$ .

**COROLLARY 1.** *If  $G'$  is a subspace of a space with constants, then  $G' \supset \overline{H}$ .*

**Proof.** Since  $\theta \in G'$ ,  $H \cap U_1 \subset G'$  as  $h \in H \cap U_1$  implies there exists an  $n$  such that  $nh = \theta$ . Therefore  $\alpha h \in G'$  for all  $\alpha \in R$  and so  $\overline{H} \in G'$ .

**COROLLARY 2.** *If  $G'$  is a closed subspace of a space with constants, then  $G'$  is a space with constants.*

**Proof.** By Corollary 1,  $G' \supset \overline{H} \neq \{\theta\}$  and since it is closed it is complete.

**LEMMA 3.3.** *If  $G$  is a space with constants, and  $\theta \neq h \in \overline{H} \cap U_1$ , there exists a real number  $\alpha_h > 0$  such that  $\alpha_h h = \theta$  and such that  $0 < \alpha < \alpha_h$  implies  $\alpha h \neq \theta$ .*

**Proof.** Let  $A = \{\alpha > 0 \mid \alpha h = \theta\}$ . By Lemma 2.2,  $A$  is equal to  $\{\alpha \geq 2/\rho(h) \mid \alpha h = \theta\}$  and by Lemma 2.3,  $A$  is closed. Thus if  $A$  is not empty,  $\alpha_h = \text{g.l.b.}_{\alpha \in A} \alpha$  has the required property. But  $A$  cannot be empty. For choose  $h_0 \in H$  such that  $h_0 \neq \theta$ . Then there exist an integer  $n_0$  such that  $n_0 h_0 = \theta$  and, by Theorem 3.5, a real number  $\alpha \neq 0$  such that  $\alpha h = h_0$ . Thus  $\theta = n_0(\alpha h) = (n_0 \alpha)h = -(n_0 \alpha)h = (-n_0 \alpha)h$ . Now either  $n_0 \alpha$  or  $-n_0 \alpha$  is positive and so belongs to  $A$ .

**COROLLARY.**  $\alpha h = \theta$  if and only if  $\alpha = n \alpha_h$  for some integer  $n$ .

**DEFINITION 3.4.** Let  $q_h = (1/2)\alpha_h \rho(h)$ . By Lemma 2.2,  $q_h \geq 1$ .

**LEMMA 3.4.** *If  $G$  is a space with constants, and  $h \in \overline{H} \cap U_1$  and  $h \neq \theta$ , then  $\overline{H}$  is equivalent to  $R_{2q_h}$ .*

**Proof.** For  $h_0 \in \overline{H}$ , there exists, by Theorem 3.5,  $\alpha \in R$  such that  $\alpha h = h_0$ . Define  $l_h: \overline{H} \rightarrow R_{2q_h}$  by  $l_h(h_0) = j(\alpha \rho(h))$ . We show that  $l_h$  is uniquely defined and gives an equivalence between  $\overline{H}$  and  $R_{2q_h}$ .

(a) If  $h_0 = \alpha h = \beta h$ , then  $(\beta - \alpha)h = \theta$  and  $\beta - \alpha = n \alpha_h$  (corollary to Lemma 3.3). Thus  $j(\alpha \rho(h)) - j(\beta \rho(h)) = j((\alpha - \beta)\rho(h)) = j(n \alpha_h \rho(h)) = j(n(2q_h)) = \theta$  and  $l_h$  is uniquely defined.

(b)  $l_h(h_1 + h_2) = l_h(\alpha_1 h + \alpha_2 h) = j((\alpha_1 + \alpha_2)\rho(h)) = j(\alpha_1 \rho(h)) + j(\alpha_2 \rho(h)) = l_h(h_1) + l_h(h_2)$  and  $l_h$  is a homomorphism.

(c) If  $l_h(h_0) = \theta$ , then  $\alpha \rho(h) = 2nq_h$  and  $\alpha = n \alpha_h$  and  $h_0 = \alpha h = \theta$ . Thus  $l_h$  is an isomorphism.

(d) If  $\rho(h_0) < 1$ ,  $h_0 = \pm(\rho(h_0)/\rho(h))h$  by Theorem 3.5. Thus  $|j^{-1}(l_h(h_0))| = |j^{-1}(j((\rho(h_0)/\rho(h))\rho(h)))| = \rho(h_0)$  and  $l_h$  is an isometry on  $\overline{H} \cap U_1$ .

(e) Suppose  $a \in U_1 \subset R_{2q_h}$ . Let  $\alpha = (1/\rho(h))\{j^{-1}(a)\}$  and  $h_0 = \alpha h$ . Then  $h_0 \in U_1 \subset \overline{H}$  and  $l_h(h_0) = j(j^{-1}(a)) = a$ . Thus  $l_h$  maps  $U_1 \cap \overline{H}$  onto  $U_1 \cap R_{2q_h}$ .

Thus (Definition 3.1)  $l_h$  gives an equivalence between  $\overline{H}$  and  $R_{2q_h}$ .

**COROLLARY.** *If  $h' \in \overline{H} \cap U_1$  and  $h' \neq \theta$ , then  $q_{h'} = q_h$  and  $l_{h'} = \pm l_h$ .*

**Proof.** By the lemma,  $\overline{H}$  is equivalent to both  $R_{2q_h}$  and  $R_{2q_{h'}}$  and so  $R_{2q_h}$  is equivalent to  $R_{2q_{h'}}$ . This implies immediately that  $q_h = q_{h'}$ . Now the only continuous isomorphisms of  $R_{2q}$  onto itself are the identity and the reflection ( $a \rightarrow -a$ ). But  $l_{h'}(l_h^{-1})$  is such a map and so  $l_{h'} = \pm l_h$ .

Thus we may drop the subscript  $h$  from  $q_h$  and define  $q = (1/2)\alpha_h \rho(h)$  for any  $h \in \overline{H} \cap U_1$  such that  $h \neq \theta$ . We choose one of the two equivalence mappings of  $\overline{H}$  onto  $R_{2q}$  and denote it by  $l$ . The other is then  $-l$ .

We have already proved

**THEOREM 3.6.** *If  $G$  is a space with constants, then  $l: \overline{H} \rightarrow R_{2q}$  is a character of  $\overline{H}$ .*

**THEOREM 3.7.** *If  $G$  is a space with constants, then for each  $a \in U_1$ , there exists a character  $L$  of  $G$  such that  $L = l$  on  $\overline{H}$  and  $|j^{-1}(L(a))| = \rho(a)$ .*

**Proof.** By Theorems 3.3, 3.4, and 3.6 there exist characters of  $G$  equal to  $l$  on  $\overline{H}$ . If  $a \in \overline{H}$ ,  $|j^{-1}(L(a))| = |j^{-1}(l(a))| = \rho(a)$  and we are through. Suppose  $a \notin \overline{H}$ . For each  $h \in \overline{H} \cap U_1$ ,  $l(h)$  or  $l(-h) = j(\rho(h))$ . Choose  $h_0 \in \overline{H} \cap U_{1/2}$  such that  $h_0 \neq \theta$  and  $l(h_0) = j(\rho(h_0))$ . Since  $h_0$  is a constant of  $G$ , there exists  $b$  such that  $b = \pm(1/2)a$  and  $\{h_0, b\}$  is positive. From the proof of Theorem 3.3, there is a character  $L$  of  $G$  equal to  $l$  on  $\overline{H}$  such that  $L(b) = j(M)$  where  $M = \text{g.l.b.}_{h_1 \in \overline{H} \cap U_{1/2}, 0 < \beta_1 \leq 1} \{(1/\beta_1)\rho(h_1 + \beta_1 b) - (1/\beta_1)j^{-1}(l(h_1))\}$ . By Theorem 3.5,  $h_1 = \pm(\rho(h_1)/\rho(h_0))h_0$ .

(a) If  $h_1 = (\rho(h_1)/\rho(h_0))h_0$ , then by Lemma 3.1  $\rho(h_1 + \beta_1 b) = \rho(h_1) + \beta_1 \rho(b)$ , and thus  $(1/\beta_1)\rho(h_1 + \beta_1 b) - (1/\beta_1)j^{-1}(l(h_1)) = (1/\beta_1)\rho(h_1) + \rho(b) - (1/\beta_1)\rho(h_1) = \rho(b)$ .

(b) If  $h_1 = -(\rho(h_1)/\rho(h_0))h_0$ , then

$$\frac{1}{\beta_1} \rho(h_1 + \beta_1 b) - \frac{1}{\beta_1} j^{-1}(l(h_1)) \geq \rho(b) - \frac{1}{\beta_1} \rho(h_1) + \frac{1}{\beta_1} \rho(h_1) = \rho(b).$$

Thus  $M = \rho(b)$  and  $L(b) = j(\rho(b))$ . Then

$$|j^{-1}(L(a))| = |j^{-1}(L(\pm 2b))| = |j^{-1}(\pm 2L(b))| = 2|j^{-1}j(\rho(b))| = 2\rho(b) = \rho(a).$$

Let  $G$  be a space with constants. The set of characters of  $G$  which are extensions of  $l$  is a topological space under the point open topology. We denote this space by  $S$ .

**THEOREM 3.8.** *The space  $S$  is connected.*

**Proof.** Suppose  $L_0$  and  $L_1$  belong to  $S$ . For  $0 \leq \alpha \leq 1$  we define  $L_\alpha: G \rightarrow R_{2q}$  as follows. For  $b \in G$ , there exist  $a \in U_1$  and  $\gamma \in R$  such that  $\gamma a = b$  (Lemma 2.1). We put  $L_\alpha(b) = L_0(((1-\alpha)\gamma)a) + L_1((\alpha\gamma)a)$ . Using strongly the fact that  $L_0 = L_1 = l$  on  $\overline{H}$ , one may verify that  $L_\alpha(b)$  is uniquely defined and that  $L_\alpha \in S$ . Since  $|j^{-1}(L_\alpha(b) - L_{\alpha_0}(b))| \leq |j^{-1}L_0(((\alpha_0 - \alpha)\gamma)a)| + |j^{-1}L_1((\alpha - \alpha_0)\gamma)a| \leq 2|\gamma||\alpha - \alpha_0|$ , the map  $\alpha \rightarrow L_\alpha$  is a continuous curve connecting  $L_0$  to  $L_1$  in  $S$ . Thus  $S$  is connected.

**THEOREM 3.9.** *The space  $S$  is compact.*

**Proof.** See Theorem 6.1 which is independently proved. A direct proof, duplicating the proof that the unit sphere in a conjugate space is compact in the weak-star topology, can be given.

**THEOREM 3.10.** *If  $\rho(a) \geq 1$ , there exists  $L \in S$ , such that  $|j^{-1}(L(a))| \geq 1$ .*

**Proof.** Suppose  $|j^{-1}(L(a))| < 1$  for all  $L \in S$ . There exist  $b \in U_{1/3}$  and  $\beta \in R$  such that  $\beta b = a$  (Lemma 2.1). Now  $\rho(a) \geq 1$  and so  $|\beta| \geq 3$  which implies that  $\beta[L(b) - (1/\beta)L(a)] = \beta L(b) - L(a) = \theta$  for all  $L \in S$ . The function  $f: S \rightarrow R_{2q}$  defined by  $f(L) = L(b) - (1/\beta)L(a)$  is continuous (Lemma 2.3 and the definition of the point open topology). Since  $S$  is connected (Theorem 3.8),  $f(S)$  is connected. Now the set  $C = \{c \in R_{2q} | \beta c = \theta\}$  is totally disconnected, and  $f(S) \subset C$ . Thus  $f(S) \equiv c_0$ . Moreover  $|j^{-1}(c_0)| \leq |j^{-1}(L(b))| + |j^{-1}((1/\beta)L(a))| < 2/3$ .

Let  $h = l^{-1}(c_0)$ . Then  $L(b - h) = L(b) - c_0 = (1/\beta)L(a)$ , and  $|j^{-1}(L(b - h))| < 1/|\beta|$  for all  $L \in S$ . But there exists  $L_0 \in S$  such that  $|j^{-1}(L_0(b - h))| = \rho(b - h)$  (Theorem 3.7) and so  $\rho(b - h) < 1/|\beta|$ . Thus  $1 > |\beta|\rho(b - h) = \rho(\beta b - \beta h) = \rho(a - \beta h)$ . But  $l(\beta h) = \beta l(h) = \beta c_0 = \theta$  and  $\beta h = \theta$ . But then  $\rho(a) < 1$ , contradicting the hypothesis.

Theorems 3.7 and 3.10 combine to give

**THEOREM 3.11.** *If  $G$  is a space with constants and if  $b \neq \theta$ , then there exists  $L \in S$  such that  $L(b) \neq \theta$ .*

**THEOREM 3.12.** *A group  $G$  is equivalent to a closed subspace  $G'$  of  $R_{2q}(X)$  for some  $q \geq 1$  and for some compact, connected space  $X$ , if and only if  $G$  is a space with constants.*

**Proof.** (a) By Theorem 2.3 and Corollary 2 to Theorem 3.5,  $G'$  is a space with constants. But  $G$  is equivalent to  $G'$  and it follows that  $G$  is a space with constants.

(b) Suppose  $G$  is a space with constants. Then  $G$  uniquely determines an  $R_{2q}$  for  $q \geq 1$  (Lemma 3.4 and corollary), and the space  $S$  is a compact, connected space. We define  $I(b)\{L\} = L(b)$  for all  $L \in S$ . The choice of the point open topology on  $S$  insures that  $I(b)$  is a continuous function on  $S$  and so  $I: G \rightarrow R_{2q}^S$ .

By P'1 and Theorem 3.11,  $I$  is an isomorphism. Since  $U_1$  generates  $G$ ,  $I(U_1)$  generates  $I(G)$ . But  $I$  is an isometry on  $U_1$ , by P'2 and Theorem 3.7, and so  $I(U_1) \subset R_{2q}(S)$  and therefore  $I(G) \subset R_{2q}(S)$ . Moreover if  $\rho(I(b)) < 1$ , then  $\rho(b) < 1$  (Theorem 3.10) and so  $I(U_1) = \widehat{U}_1 \subset I(G)$ . Thus we have proved that  $I: G \rightarrow I(G) \subset R_{2q}(S)$  and that  $G$  is equivalent to  $I(G)$ . It remains only to show that  $I(G)$  is a closed subspace of  $R_{2q}(S)$ .

Suppose  $f \in I(G) \cap \widehat{U}_1$ , then there exists  $b \in U_1$  such that  $I(b) = f$ . Then  $(\alpha f)(L) = \alpha(I(b)(L)) = \alpha L(b) = L(\alpha b) = I(\alpha b)(L) \in I(G)$ .

Now suppose that  $f \in R_{2q}(S)$ ,  $\rho(f) < 1$  and for some  $\alpha \neq 0$ ,  $\alpha f \in I(G)$ . Thus  $\alpha f = I(b)$  for some  $b \in G$ . There exist  $\beta \in R$  and  $a \in U_1$  such that  $\beta a = b$ . Let  $\gamma = \max[4, |4\beta/\alpha|]$ . Then  $\gamma\alpha[(\beta/\gamma\alpha)(I(a)(L)) - (1/\gamma)f(L)] = \beta L(a) - \alpha f(L) = L(b) - L(b) = \theta$ . Then, as in the proof of Theorem 3.10,  $(\beta/\gamma\alpha)(I(a)(L)) - (1/\gamma)f(L) \equiv c_0 \in R_{2q}$  and  $\rho(c_0) < 1/2$ . Let  $h = l^{-1}(c_0)$ . Then  $(1/\gamma)f = (\beta/\gamma\alpha)I(a) - I(h) \in I(G) \cap \widehat{U}_1$  and so  $\gamma((1/\gamma)f) = f \in I(G)$ . Thus  $I(G)$  is a subspace of

$R_{2q}(S)$ . Since an equivalence map is a local isometry in both directions, completeness is preserved and the completeness of  $G$  implies that  $I(G)$  is complete and therefore closed.

**4. The associated Banach spaces.** If  $G$  is equivalent to  $R_{2q}(X)$ , the elements  $x$  of  $X$  give rise to characters of  $G$ . We wish to be able to identify these characters in terms of the metric group properties of  $G$ . We begin by examining certain Banach spaces associated with  $G$ . In this section,  $G$  is assumed to be a space with constants.

For  $L_0 \in S$ , we denote by  $G_0$  the set  $\{a \in G \mid L_0(a) = \theta\}$ .

**LEMMA 4.1.** *If  $a \in G_0$ , there exists  $b \in G_0 \cap U_1$  and  $\beta \in R$  such that  $\beta b = a$ .*

**Proof.** By Lemma 2.1, there exists  $c \in U_{1/2}$  and  $\beta \in R$  such that  $\beta c = a$ . Let  $h = l^{-1}(L_0(c))$ . Then  $L_0(c - h) = \theta$  and  $\rho(c - h) \leq \rho(c) + \rho(h) = \rho(c) + |j^{-1}(L_0(c))| \leq 2\rho(c) < 1$ . Thus  $b = c - h \in G_0 \cap U_1$ . Then  $\beta b = \beta(c - h) = \beta c - \beta h = a - \beta h$ . But  $l(\beta h) = L_0(\beta h) = L_0(\beta h - a) = -L_0(\beta(c - h)) = -\beta L_0(c - h) = \theta$  and so  $\beta h = \theta$  and  $\beta b = a$ .

**LEMMA 4.2.** *If  $b_1, b_2 \in G_0 \cap U_1$ ,  $\beta_1, \beta_2 \in R$  and  $\beta_1 b_1 = \beta_2 b_2$ , then  $|\beta_1| \rho(b_1) = |\beta_2| \rho(b_2)$  and for any  $\alpha \in R$ ,  $(\alpha \beta_1) b_1 = (\alpha \beta_2) b_2 \in G_0$ .*

**Proof.** From P'3,  $(\alpha \beta_1) b_1$  and  $(\alpha \beta_2) b_2 \in G_0$ . Now if  $\beta_1 = \beta_2 = 0$ , the conclusions follow immediately. Thus we may assume  $|\beta_1| \geq |\beta_2|$  and  $\beta_1 \neq 0$ . Then  $2\beta_1((1/2)b_1 - (\beta_2/2\beta_1)b_2) = \theta$  and so  $(1/2)b_1 - (\beta_2/2\beta_1)b_2 \in \overline{H}$  (Theorem 3.4). But  $l((1/2)b_1 - (\beta_2/2\beta_1)b_2) = L_0((1/2)b_1 - (\beta_2/2\beta_1)b_2) = \theta$  and so  $(1/2)b_1 = (\beta_2/2\beta_1)b_2$  (Lemma 3.4). Therefore  $(1/2)\rho(b_1) = \rho((1/2)b_1) = \rho((\beta_2/2\beta_1)b_2) = (|\beta_2|/2|\beta_1|)\rho(b_2)$  and  $|\beta_1|\rho(b_1) = |\beta_2|\rho(b_2)$ . Moreover, multiplying our equality by  $2\alpha\beta_1$  gives  $(\alpha\beta_1)b_1 = (\alpha\beta_2)b_2$ .

Using the  $\beta$  and  $b$  of Lemma 4.1, we define

- (1) for each  $a \in G_0$ ,  $\rho'(a) = |\beta|\rho(b)$ , and
- (2) for each  $a \in G_0$  and each  $\alpha \in R$ ,  $\alpha \times a = (\alpha\beta)b$ .

The uniqueness of these definitions follows from Lemma 4.2.

Let  $G'_0$  be the space whose elements and underlying algebraic group structure are those of  $G_0$ , but with this new metric and multiplication by reals. That is, using  $a'$  to denote the element  $a$  in  $G'_0$ , we have  $\|a'\| = \rho'(a)$  and  $\alpha a' = (\alpha \times a)'$ .

One may readily verify

**THEOREM 4.1.**  *$G'_0$  is a Banach space, and  $G'_0$  is equivalent to  $G_0$ .*

Let  $G'$  be the vector direct sum of  $G'_0$  and the reals,  $G' = G'_0 \oplus Re$ . For  $a' + \alpha e \in G'$ , we define  $\|a' + \alpha e\| = \gamma \rho(((1/\gamma) \times a) + h)$  where  $\gamma > \max [2\|a'\|, 2|\alpha|]$  and  $h = l^{-1}(j(\alpha/\gamma))$ .

**LEMMA 4.3.**  *$\|a' + \alpha e\|$  is uniquely defined.*

**Proof.** Suppose  $\gamma_1, \gamma_2 > \max [2\|a'\|, 2|\alpha|]$  and  $\gamma_1 \geq \gamma_2$ . Then  $l((\gamma_2/\gamma_1)h_2)$

$= (\gamma_2/\gamma_1)l(h_2) = (\gamma_2/\gamma_1)j(\alpha/\gamma_2) = j(\alpha/\gamma_1) = l(h_1)$  and  $(\gamma_2/\gamma_1)h_2 = h_1$ . Thus  $(\gamma_2/\gamma_1) \cdot ((1/\gamma_2) \times a + h_2) = (\gamma_2/\gamma_1)((\beta/\gamma_2)b + h_2) = (\beta/\gamma_1)b + h_1 = (1/\gamma_1) \times a + h_1$ . Thus  $\gamma_1 \rho((1/\gamma_1) \times a + h_1) = \gamma_1 \rho((\gamma_2/\gamma_1)((1/\gamma_2) \times a + h_2)) = \gamma_2 \rho((1/\gamma_2) \times a + h_2)$ .

[A direct verification then gives

**THEOREM 4.2.**  $G' = G'_0 \oplus Re$  is a Banach space.

**DEFINITION 4.1.** An element  $b$  of a Banach space  $B$  is a unit element if for every  $a \in B$ , either  $\|a+b\| = \|a\| + 1$  or  $\|a-b\| = \|a\| + 1$  [8].

**LEMMA 4.4.** The element  $e = \theta' + 1e \in G'$  is a unit element.

**Proof.** For any  $a' + \alpha e \in G'$ , choose  $\gamma > \max [2\|a'\|, 2|\alpha| + 2]$ . Now  $\bar{h} = l^{-1}(j(1/\gamma))$  is a constant of  $G$ . Assume  $\{(1/\gamma) \times a + h, \bar{h}\}$  is positive. Then  $\|a' + \alpha e + e\| = \gamma \rho((1/\gamma) \times a + l^{-1}(j((\alpha+1)/\gamma))) = \gamma \rho((1/\gamma) \times a + h + \bar{h}) = \gamma \rho((1/\gamma) \times a + h) + \gamma \rho(\bar{h}) = \|a' + \alpha e\| + 1$ . If  $\{-(1/\gamma) \times a - h, \bar{h}\}$  is positive, the same argument gives  $\|a' + \alpha e - e\| = \|a' + \alpha e\| + 1$ .

**LEMMA 4.5.**  $\lambda_0: G' \rightarrow R$ , defined by  $\lambda_0(a' + \alpha e) = \alpha$ , is a linear functional of norm 1.

**Proof.**  $\lambda_0$  is clearly linear and clearly  $\|\lambda_0\| \geq 1$ . But  $|\lambda_0(a' + \alpha e)| = |\alpha| = |\gamma| |\alpha/\gamma| = |\gamma| |j^{-1}(L_0((1/\gamma) \times a + h))| \leq |\gamma| \rho((1/\gamma) \times a + h) = \|a' + \alpha e\|$  and so  $\|\lambda_0\| = 1$ .

For a fixed  $q \geq 1$ , there is a natural mapping of  $C(X)$ , the Banach space of bounded, continuous, real-valued functions on  $X$ , into  $R_{2q}(X)$  given by  $(j(b))(x) = j(b(x))$ . For  $G'(X) \subset C(X)$ , we assume for  $j(G'(X))$  the metric, group properties induced on it as a subset of  $R_{2q}(X)$ .

**THEOREM 4.3.** If  $X$  is compact, then  $j(C(X))$  is equivalent to  $R_{2q}(X)$ .

**Proof.** Theorem 1 of [4].

**LEMMA 4.6.** If  $X$  is connected, and if  $G'(X)$  is a linear subspace of  $C(X)$  containing the function  $e(x) \equiv 1$ , then  $j(G'(X))$  is a subspace of  $R_{2q}(X)$ .

**Proof.** Since the map  $j$  is a homomorphism,  $j(G'(X))$  is an algebraic subgroup of  $R_{2q}(X)$ .

(a) Suppose  $a'(x) \in G'(X)$  and  $j(a'(x)) \in U_1 \subset R_{2q}(X)$ . Consider the function  $j^{-1}(j(a'(x))) - a'(x) = f(x)$ . Since  $j^{-1}$  is continuous on  $U_1$ ,  $f$  is continuous and since  $X$  is connected,  $f(X)$  is a connected set. But  $j(f(x)) \equiv \theta$  and so  $f(X) \subset I_{2q} = \{n(2q)\}$ . Thus  $j^{-1}(j(a'(x))) = a'(x) + 2n_0 q e(x)$  for some fixed integer  $n_0$ . Thus  $j^{-1}(j(a'(x)))$  and  $\alpha j^{-1}(j(a'(x))) \in G'(X)$ . Then  $\alpha \{j(a'(x))\} = j(\alpha j^{-1}(j(a'(x)))) \in j(G'(X))$ .

(b) Now suppose  $b(x) \in U_1 \subset R_{2q}(X)$  and for some  $\alpha \neq 0$ ,  $\alpha b(x) = j(a'(x))$  for some  $a'(x) \in G'(X)$ . Choose  $\gamma > \max ((4/|\alpha|)\|a'(x)\|, 4)$ . Then  $\gamma \alpha [(1/\gamma)b(x) - j(a'(x)/\gamma \alpha)] \equiv \theta$ . Then by Theorem 3.4 and Lemma 2.4  $(1/\gamma)b(x) - j(a'(x)/\gamma \alpha) \equiv j(\beta e(x)) \in j(G'(X))$ . Thus  $(1/\gamma)b(x) \in j(G'(X)) \cap U_1$ .

By (a),  $\gamma((1/\gamma)b(x)) = b(x) \in j(G'(X))$ .

**THEOREM 4.4.** *If  $X$  is connected and  $G'(X)$  is a linear subspace of  $C(X)$ , then  $G$  is equivalent to  $j(G'(X))$  if and only if*

(1)  $G'(X)$  contains  $e(x) \equiv 1$ , and

(2) *there exists an equivalence map of  $G' = G'_0 \oplus Re$  onto  $G'(X)$  such that  $e \rightarrow \pm e(x)$  under this equivalence.*

**Proof.** (a) Suppose  $G$  is equivalent to  $j(G'(X))$ . Let  $i: G \rightarrow j(G'(X))$  be the equivalence map. Then for  $\theta \neq h \in \overline{H} \subset G$ ,  $i(h) \in \overline{H} \subset R_{2q}(X)$  and by Lemma 2.4,  $i(h) = j(\beta e(x))$  for some  $\beta \neq 2nq$  for any  $n$ . Thus, there exists  $f(x) \in G'(X)$  such that  $j(f(x)) = j(\beta e(x))$ . Since  $X$  is connected,  $f(x) = (\beta + 2n_0q)e(x)$  and since  $G'(X)$  is a linear subspace and  $\beta + 2n_0q \neq 0$ ,  $e(x) \in G'(X)$ . Thus condition (1) is satisfied.

We proceed to prove condition (2). It is clear that the map  $i(h) = j(\beta)$  is an equivalence map of  $\overline{H}$  onto  $R_{2q}$ . Thus on  $\overline{H}$ ,  $i = \pm l$ . Define  $\bar{e}(x) = +e(x)$  or  $\bar{e}(x) = -e(x)$  depending on whether  $i = +l$  or  $i = -l$ . Then for  $a' + \alpha e \in G'$ , choose  $\gamma > \|a'\|$  and define  $I(a' + \alpha e) = \gamma \{j^{-1}[i((1/\gamma) \times a)]\} + \alpha \bar{e}(x)$ .

(1)  $I$  is uniquely defined for if  $\delta \geq \gamma$ ,  $(1/\delta) \times a = (\gamma/\delta)((1/\gamma) \times a)$  and

$$\begin{aligned} \delta \left\{ j^{-1} \left[ i \left( \frac{1}{\delta} \times a \right) \right] \right\} &= \delta \left\{ j^{-1} \left[ i \left( \frac{\gamma}{\delta} \left( \frac{1}{\gamma} \times a \right) \right) \right] \right\} \\ &= \delta \left\{ j^{-1} \left[ \frac{\gamma}{\delta} i \left( \frac{1}{\gamma} \times a \right) \right] \right\} \\ &= \delta \left\{ j^{-1} j \left[ \frac{\gamma}{\delta} \left( j^{-1} \left( i \left( \frac{1}{\gamma} \times a \right) \right) \right) \right] \right\} \\ &= \gamma \left\{ j^{-1} \left[ i \left( \frac{1}{\gamma} \times a \right) \right] \right\}. \end{aligned}$$

(2)  $I(G') \subset G'(X)$ . For if  $a' + \alpha e \in G'$ ,  $i((1/\gamma) \times a) = j(f(x))$  for some  $f(x)$  in  $G'(X)$ . Then  $\gamma \{j^{-1}[i((1/\gamma) \times a)]\} + \alpha \bar{e}(x) = \gamma(f(x) + 2nqe(x)) + \alpha \bar{e}(x) \in G'(X)$ .

(3)  $I(e) = \bar{e}(x)$ .

(4)  $I$  is linear. It is clearly a homomorphism. Moreover if  $b' = \beta a' = (\beta \times a)'$ ,  $I(b' + \beta(\alpha e)) = \gamma |\beta| \{j^{-1}[i((1/\gamma) |\beta|) \times b)]\} + \beta \alpha \bar{e}(x) = \gamma |\beta| \{j^{-1}[i((1/\gamma) |\beta|) \times (\beta \times a)]\} + \beta \alpha \bar{e}(x) = \gamma |\beta| (\beta/|\beta|) \{j^{-1}[i((1/\gamma) \times a)]\} + \beta \alpha \bar{e}(x) = \beta I(a' + \alpha e)$ .

(5)  $I$  is norm-preserving. For if  $\|a' + \alpha e\| < 1/6$ ,  $|\alpha| < 1/6$  by Lemma 4.5 and so  $\|a'\| < 1/3$  by the triangle inequality. Then we may put  $\gamma = 1$  in the definition of  $\|a' + \alpha e\|$ , and we have

$$\begin{aligned} \|a' + \alpha e\| &= \rho(a + h) = \rho(i(a + h)) = \rho(i(a) + i(h)) \\ &= \rho(i(a) + j\{(j^{-1}l(h))(\bar{e}(x))\}) = \|j^{-1}(i(a) + j\{(j^{-1}l(h))(\bar{e}(x))\})\| \\ &= \|j^{-1}(i(a) + j(\alpha \bar{e}(x)))\| = \|j^{-1}(i(a) + \alpha \bar{e}(x))\| = \|I(a' + \alpha e)\| \end{aligned}$$

(again taking  $\gamma=1$ ). Thus  $I$  is norm-preserving on  $U_{1/6}$ . But  $I$  is linear and so  $I$  is norm-preserving on  $G'$ .

(6)  $I$  maps  $G'$  onto  $G'(X)$ . For suppose  $b'(x) \in G'(X)$ . There exists  $a \in G_0$  and  $h \in \overline{H}$  such that  $i(a+h) = j(b'(x))$ . But  $j(I(a')) = i(a) = j(b'(x)) - i(h) = j(b'(x)) - j\{(j^{-1}l(h))(\bar{e}(x))\} = j\{b'(x) - (j^{-1}l(h))(\bar{e}(x))\}$  and  $I(a') = b'(x) - (j^{-1}l(h) + 2nq)(\bar{e}(x))$ . Thus  $I(a' + (j^{-1}l(h) + 2nq)e) = b'(x)$ .

Thus  $I$  is a Banach space equivalence and clearly an equivalence in our sense.

(b) Now suppose  $I: G' \rightarrow G'(X)$  is the hypothesized equivalence. Since  $l^{-1}L_0: G \rightarrow \overline{H}$  is a continuous projection,  $G = G_0 \oplus \overline{H}$  is a direct sum. Thus we may define  $J: G \rightarrow j(G'(X))$  by  $J(a+h) = j\{I(a') + (j^{-1}l(h))I(e)\}$ .

(1)  $J$  is clearly a homomorphism.

(2) If  $J(a+h) = \theta$ ,  $j\{I(a') + (j^{-1}l(h))I(e)\} \equiv \theta$  and  $I(a') \equiv [2nq + j^{-1}l(h)]I(e)$  (since  $I(e) = \bar{e}(x)$ ). But  $G'$  and therefore  $G'(X)$  is a direct sum and so  $I(a') \equiv 0$  and  $j^{-1}l(h) = -2nq = 0$  as  $-q < j^{-1}l(h) \leq q$ . Thus  $a = h = \theta$  and  $J$  is an isomorphism.

(3) If  $f(x) \in j(G'(X))$ , there exist  $a \in G_0$  and  $\alpha \in R$  such that  $f(x) = j(I(a') + \alpha I(e)) = j\{I(a') + (j^{-1}j(\alpha))I(e)\}$ . Let  $h = l^{-1}(j(\alpha))$ ; then  $J(a+h) = f(x)$  and  $J$  maps  $G$  onto  $j(G'(X))$ .

(4) Suppose  $\rho(J(a+h)) < 1$ . Now  $\rho(J(a+h)) = \|j^{-1}\{j(I(a') + (j^{-1}l(h))I(e))\}\|$ . Since  $j^{-1}j(\alpha) = \alpha$  for  $|\alpha| < 1$ , we prove  $\rho(J(a+h)) = \rho(a+h)$  by showing that  $\|I(a') + (j^{-1}l(h))I(e)\| = \rho(a+h) < 1$ . Now  $G'$  and  $G'(X)$  are Banach spaces and so an equivalence between them in our sense is a Banach space equivalence. Thus  $\|I(a') + (j^{-1}l(h))I(e)\| = \|a' + j^{-1}l(h)e\|$ . Now  $\rho(h) = |j^{-1}l(h)| = |j^{-1}(L_0(h))| = |j^{-1}(L_0(a+h))| \leq \rho(a+h) < 1$ . Thus choosing  $\gamma = \max [4, 4\|a'\|]$ , we have  $\|a' + j^{-1}l(h)e\| = \gamma\rho((1/\gamma)a + (1/\gamma)h)$  since  $(1/\gamma)h = l^{-1}\{j[(1/\gamma)j^{-1}l(h)]\}$ . But  $\gamma\{(1/\gamma)a + (1/\gamma)h - (1/\gamma)(a+h)\} = \theta$  and so  $b = (1/\gamma)a + (1/\gamma)h - (1/\gamma)(a+h) \in \overline{H}$ . But  $l(b) = L_0(b) = \theta$  and so  $b = \theta$ . Therefore  $\gamma\rho((1/\gamma)a + (1/\gamma)h) = \gamma\rho((1/\gamma)(a+h)) = \rho(a+h)$ . Thus  $J$  is an isometry on  $U_1 \subset G$ .

(5) Suppose  $\rho(J(a+h)) < 1$ . Then for some fixed integer  $n_0$ ,  $2n_0q - 1 < I(a') + j^{-1}l(h)I(e) < 2n_0q + 1$  for all  $x \in X$ . Thus  $\|I(a') + \{j^{-1}l(h) - 2n_0q\}I(e)\| = \|a' + \{j^{-1}l(h) - 2n_0q\}e\| < 1$ . By Lemma 4.5,  $|j^{-1}l(h) - 2n_0q| < 1$  and since  $-q < j^{-1}l(h) \leq q$  we have  $n_0 = 0$  and  $\rho(h) = |j^{-1}l(h)| < 1$ . Thus again  $\gamma\rho((1/\gamma)a + (1/\gamma)h) = \rho(J(a+h)) < 1$ . But since  $\gamma\rho((1/\gamma)a + (1/\gamma)h) < 1$ ,  $\gamma\rho((1/\gamma)a + (1/\gamma)h) = \rho(a+h)$  and  $J$  maps  $U_1 \subset G$  onto  $U_1 \subset j(G'(X))$ .

Thus  $G$  is equivalent to  $j(G'(X))$ .

**5. Some theorems on Banach spaces.** We have shown that a group  $G$  is equivalent to  $R_{2q}(X)$  for some compact, connected space  $X$  if and only if (1)  $G$  is a space with constants and (2)  $G'$  is equivalent to  $C(X)$  (Theorems 4.3 and 4.4). In the usual characterizations of a Banach space  $G'$  as  $C(X)$ , the points of  $X$  are found in  $G'^*$  (the set of linear functionals of  $G'$ ). We wish to give a characterization in terms of the group  $G$ . In §6, we show that the characters



of  $G$  correspond naturally to a subset of the linear functionals of  $G'_0$ . However, the  $F_T$ 's of  $G'_0$  [8], or the extreme points of the unit sphere of  $G'_0$  [2], do not in general give the required space  $X$ .

Specifically, for  $G' = G'_0 \oplus Re$ , we look for a space  $E \subset G'_0$  such that the natural correspondence  $a' + \alpha e \rightarrow \xi(a') + \alpha$  ( $\xi \in E$ ) is an equivalence, and such that  $G'$  is equivalent to  $C(X)$  for some  $X$  if and only if this mapping takes  $G'$  onto  $C(E)$ .

Let  $B'$  be a Banach space with a unit element  $e$ .

**DEFINITION 5.1.** If  $\lambda_0$  is a linear functional on  $B'$  of norm 1 whose value at  $e$  is 1, then  $B = \{b \in B' \mid \lambda_0(b) = 0\}$  is a positive hyperplane of  $B'$ .  $B$  clearly is a Banach space and  $B' = B \oplus Re$  is a direct sum.

**DEFINITION 5.2.** A functional  $\lambda \in B^*$  is essentially positive (relative to  $B'$ ) if for all  $b \in B$ , and  $\alpha \in R$ ,  $|\lambda(b) + \alpha| \leq \|b + \alpha e\|$ .

In what follows the topology in  $B^*$  is the weak-star (point open) topology.

**LEMMA 5.1.** The set  $\mathcal{S}$  of essentially positive linear functionals is closed in  $B^*$ .

**Proof.** Suppose  $\lambda' \in B^*$  and  $\lambda' \notin \mathcal{S}$ . Then there exists  $b \in B$ ,  $\alpha \in R$  such that  $|\lambda'(b) + \alpha| > \|b + \alpha e\|$ . The set  $V = \{\lambda \in B^* \mid |\lambda(b) - \lambda'(b)| < (|\lambda'(b) + \alpha| - \|b + \alpha e\|)/2\}$  is open and contains  $\lambda'$ . For  $\lambda \in V$ ,  $|\lambda(b) + \alpha| \geq |\lambda'(b) + \alpha| - |\lambda(b) - \lambda'(b)| > (\|\lambda'(b) + \alpha\| + \|b + \alpha e\|)/2 > \|b + \alpha e\|$ . Thus  $V \cap \mathcal{S} = \emptyset$  and  $\mathcal{S}$  is closed.

**LEMMA 5.2.**  $\mathcal{S}$  is compact.

**Proof.** For  $\alpha = 0$ ,  $\lambda \in \mathcal{S}$ ,  $|\lambda(b)| \leq \|b\|$  for all  $b \in B$ . Thus  $\mathcal{S}$  is contained in  $\Sigma'$  the unit sphere in  $B^*$ . But  $\Sigma$  is compact in the weak-star topology [1],  $\mathcal{S}$  is closed by Lemma 5.1, and  $\mathcal{S}$  is compact.

**DEFINITION 5.3.** For  $\lambda \in \mathcal{S}$ ,  $M(\lambda) = \{b \in B \mid \lambda(b) \geq \lambda'(b) \text{ for all } \lambda' \in \mathcal{S}\}$ .

We may order the sets  $M(\lambda)$  by inclusion.

**DEFINITION 5.4.** A functional  $\xi \in \mathcal{S}$  is a maximal functional of  $\mathcal{S}$  if  $M(\xi)$  is a maximal set in the ordering of the sets  $M(\lambda)$ .

It can be shown that in the natural imbedding of  $\mathcal{S}$  into  $\Sigma'$  (the unit sphere in  $B'^*$ ), the maximal functionals do not in general map into either  $F_T$ 's or extreme points of  $\Sigma'$ .

**THEOREM 5.1.** If  $\lambda_0 \in \mathcal{S}$ , there exists a maximal functional  $\xi$ , such that  $M(\xi) \supset M(\lambda_0)$ .

**Proof.** By Zorn's lemma,  $M(\lambda_0)$  is contained in a maximal linearly ordered chain  $\{M(\lambda_\mu)\}$ . Define  $E(\mu) = \{\lambda \in \mathcal{S} \mid \lambda(b) = \lambda_\mu(b) \text{ for all } b \in M(\lambda_\mu)\}$ .

(1)  $E(\mu)$  is not empty as  $\lambda_\mu \in E(\mu)$ .

(2) If  $M(\lambda_{\mu_1}) \subset M(\lambda_{\mu_2})$  then  $E(\mu_1) \supset E(\mu_2)$ . For if  $\lambda \in E(\mu_2)$ ,  $\lambda(b) = \lambda_{\mu_2}(b) \geq \lambda_{\mu_1}(b)$  for all  $b \in M(\lambda_{\mu_2})$ . Thus  $\lambda(b) \geq \lambda_{\mu_1}(b)$  for all  $b \in M(\lambda_{\mu_1})$ . But the opposite inequality always holds and so  $\lambda(b) = \lambda_{\mu_1}(b)$  for all  $b \in M(\lambda_{\mu_1})$  and so  $\lambda \in E(\mu_1)$ .

(3)  $E(\mu)$  is closed for  $E(\mu) = \bigcap_{b \in M(\lambda_\mu)} \{\lambda \in \mathcal{S} \mid \lambda(b) = \lambda_\mu(b)\}$  and is the intersection of closed sets.

Thus  $\{E(\mu)\}$  is a family of closed, non-empty sets of  $\mathcal{S}$ , linearly ordered by inclusion. Since  $\mathcal{S}$  is compact, there exists  $\xi \in \bigcap_\mu \{E(\mu)\}$ . For any  $\lambda_\mu$  in our chain, we now have  $\xi(b) = \lambda_\mu(b) \geq \lambda(b)$  for all  $b \in M(\lambda_\mu)$  and all  $\lambda \in \mathcal{S}$ . Thus  $M(\xi) \supset M(\lambda_\mu)$ . If  $M(\lambda_{\mu'}) \supset M(\xi)$ , then  $M(\lambda_{\mu'})$  belongs to the chain (the chain is maximal) and so  $M(\xi) \supset M(\lambda_{\mu'})$ . Thus  $\xi$  is a maximal functional and since  $M(\lambda_0) \in \{M(\lambda_\mu)\}$ ,  $M(\xi) \supset M(\lambda_0)$ .

**THEOREM 5.2.** *If  $B$  is a positive hyperplane of  $B'$ , a Banach space with a unit element  $e$ , then for each  $b' \in B'$ ,  $b' = b + \beta e$ , there exists a maximal functional  $\xi$  of  $\mathcal{S}$  such that  $|\xi(b) + \beta| = \|b'\|$ .*

**Proof.** By the Hahn-Banach extension theorem [3, p. 28], there exists a  $\lambda'_0 \in B'^*$  such that  $\|\lambda'_0\| = 1$ ,  $\lambda'_0(e) = 1$ , and  $\lambda'_0(b') = \inf_{\alpha \in \mathbb{R}} \|b' + \alpha e\| - \alpha$ . Now  $e$  is a unit element. We assume first that  $\|b' + e\| = \|b'\| + 1$ . Then for  $\alpha \geq 0$ , Lemma 3.1 implies that  $\|b' + \alpha e\| - \alpha = \|b'\| + \alpha - \alpha = \|b'\|$ . (The condition  $\|b'\| + \alpha\|e\| < 1$  is not needed in a Banach space.) For  $\alpha < 0$ ,  $\|b' + \alpha e\| - \alpha \geq \|b'\| - |\alpha| - \alpha = \|b'\|$ . Thus  $\inf_{\alpha \in \mathbb{R}} \|b' + \alpha e\| - \alpha = \|b'\|$  and  $\lambda'_0(b') = \|b'\|$ .

Let  $\lambda_0$  be the functional  $\lambda'_0$  cut down to  $B$ . Since  $\|\lambda'_0\| = 1$  and  $\lambda'_0(e) = 1$ ,  $\lambda_0$  is an element of  $\mathcal{S}$ . Moreover for all  $\lambda \in \mathcal{S}$ ,  $\lambda(b) + \beta \leq \|b + \beta e\|$  and so, for the  $b$  and  $\beta$  defined by  $b'$ ,  $\lambda(b) \leq \|b + \beta e\| - \beta = \lambda_0(b)$ . Thus  $b \in M(\lambda_0)$ . But there exists a maximal functional  $\xi$  such that  $M(\xi) \supset M(\lambda_0)$ , Theorem 5.1. Moreover on  $M(\lambda_0)$ ,  $\xi = \lambda_0$  and so  $\xi(b) + \beta = \lambda_0(b) + \beta = \lambda'_0(b + \beta e) = \lambda'_0(b') = \|b'\|$ .

Now if  $\|b' - e\| = \| -b' + e\| = \|b'\| + 1$ , the same argument proves the existence of a maximal functional  $\xi$ , such that  $\xi(-b) - \beta = \|b'\|$ . Since one of these two conditions must apply we have shown the existence of a maximal functional  $\xi$ , such that  $|\xi(b) + \beta| = \|b'\|$ .

**THEOREM 5.3.** *If  $B$  is a positive hyperplane of  $B'$ , a Banach space with a unit element  $e$ , and  $E$  is the space of maximal functionals of  $\mathcal{S}$ , then  $B'$  is equivalent to a closed, linear subspace of  $C(E)$ .*

**Proof.** We map  $b' = b + \beta e \rightarrow f(\xi) = \xi(b) + \beta$ . The weak star topology on  $E \subset B^*$  insures the continuity of  $f$ . Since  $|\xi(b) + \beta| \leq \|b + \beta e\|$ ,  $f(\xi)$  is bounded. This map of  $B' \rightarrow C(E)$  is clearly linear, and by Theorem 5.2 it is norm-preserving. Thus  $B'$  is equivalent to its image in  $C(E)$  and since  $B'$  is a complete, linear space, its image is a closed, linear subspace of  $C(E)$ .

**THEOREM 5.4.** *A Banach space  $B'$  is equivalent to  $C(X)$  for some compact space  $X$  if and only if*

- (1)  $B'$  has a unit element and
- (2) there exists a positive hyperplane  $B$  of  $B'$ , such that for any  $b \in B$  and  $\beta \in \mathbb{R}$ , there exists  $\bar{b} \in B$  and  $\bar{\beta} \in \mathbb{R}$ , such that  $\xi(\bar{b}) + \bar{\beta} = |\xi(b) + \beta|$  for all maximal functionals  $\xi \in \mathcal{S}$ .

**Proof.** (a) Suppose  $B'$  is equivalent to  $C(X)$  for some compact  $X$ . Then  $e(x) \equiv 1$  is a unit element. Let  $B$  be any positive hyperplane of  $B'$  (one exists by the Hahn-Banach theorem). To show that condition (2) is necessary we need only show that every maximal functional corresponds to a point of  $X$  ( $\xi(b) = b(x_0)$  for some  $x_0 \in X$ ), for  $b'(x) \in C(X)$  implies  $|b'(x)| \in C(X)$ .

Let  $X_b = \{x \in X \mid b(x) = \sup_{x \in X} b(x)\}$ . Since  $X$  is compact  $X_b$  is not empty. Now the functional  $\lambda_0: b \rightarrow b(x_0)$  is an element of  $\mathcal{S}$ . Thus for any  $\lambda \in \mathcal{S}$  and  $b \in M(\lambda)$ ,  $\lambda(b) \geq \lambda_0(b) = b(x_0)$  for all  $x_0 \in X$ . Thus for  $b \in M(\lambda)$ ,  $\lambda(b) \geq \sup_{x \in X} b(x)$ . Now choose  $\alpha = \|b\|$ . Then  $\lambda(b) \leq \|b + \alpha e\| - \alpha = \sup_{x \in X} (b(x) + \alpha e(x)) - \alpha = \sup_{x \in X} b(x)$ . Thus  $\lambda(b) = \sup_{x \in X} b(x)$  for  $b \in M(\lambda)$ . Now for  $b_i$ , any finite set of elements of  $M(\lambda)$  we have  $\sum_{i=1}^n b_i \in M(\lambda)$  and so

$$\sup_{x \in X} \left[ \sum_{i=1}^n b_i(x) \right] = \lambda \left( \sum_{i=1}^n b_i \right) = \sum_{i=1}^n (\lambda(b_i)) = \sum_{i=1}^n \sup_{x \in X} (b_i(x)).$$

But this implies that  $\bigcap_{i=1}^n X_{b_i}$  is not empty. Since  $X$  is compact and  $X_b$  is closed we have that there exists an  $x_1 \in X$  such that  $x_1 \in \bigcap_{b \in M(\lambda)} X_b$ . Then  $\lambda_1: b \rightarrow b(x_1)$  is equal to  $\lambda$  on  $M(\lambda)$  and so we have  $M(\lambda_1) \supset M(\lambda)$ . Now suppose  $\lambda = \xi$  is a maximal functional. Thus  $M(\xi) = M(\lambda_1)$  and  $\xi(b) = b(x_1)$  for all  $b \in M(\lambda_1)$ . But  $b \in B$  such that there exists an  $\alpha \in R$  such that  $b(x_1) + \alpha = \|b + \alpha e\|$  certainly belong to  $M(\lambda_1)$ . Thus  $\xi = \lambda_1$  on these elements and by Lemma 2.3 of [8],  $\xi = \lambda_1$  on  $B$  and so all maximal functionals correspond to points of  $X$ . {The preceding also proves that all points of  $X$  give rise to maximal functionals.}

(b) Now suppose (1) and (2) are satisfied. Let  $\overline{E}$  be the closure of  $E$  in  $B^*$ . Since  $E \subset \mathcal{S}$ , and  $\mathcal{S}$  is compact,  $\overline{E}$  is compact. Moreover, the map  $b' = b + \alpha e \rightarrow f(\xi) = \xi(b) + \alpha$  for  $\xi \in \overline{E}$  is an equivalence map (Theorem 5.3, the addition of elements of  $\mathcal{S}$  to  $E$  to form  $\overline{E}$  does not change this property). Thus  $B$  is equivalent to  $\Gamma$ , a closed, linear subspace of  $C(\overline{E})$ . Then by the theorem of Kakutani [6],  $\Gamma = C(\overline{E})$  if

- (1) whenever  $\xi_1, \xi_2 \in \overline{E}$  and  $\xi_1 \neq \xi_2$  there exists  $f \in \Gamma$  such that  $f(\xi_1) \neq f(\xi_2)$ ,
- (2)  $\Gamma$  contains a nontrivial constant function, and
- (3)  $\Gamma$  is lattice closed.

If  $f(\xi_1) = f(\xi_2)$  for all  $f$  in  $\Gamma$ , then  $\xi_1(b) + \alpha = \xi_2(b) + \alpha$  for all  $b \in B$ , and so  $\xi_1 = \xi_2$ .

Moreover  $0 + e \in B'$  maps into the function  $f(\xi) \equiv 1$  and  $\Gamma$  contains a non-trivial constant function.

Finally

$$\begin{aligned} & \max_{\min} \{ \xi(b_1) + \alpha_1, \xi(b_2) + \alpha_2 \} \\ &= \frac{1}{2} \{ \xi(b_1) + \xi(b_2) + \alpha_1 + \alpha_2 \pm | \xi(b_2) - \xi(b_1) + \alpha_2 - \alpha_1 | \} \end{aligned}$$

and by condition (2) both these functions are in  $\Gamma$ , and  $\Gamma$  is lattice closed.

Thus  $B'$  is equivalent to  $C(\bar{E})$ . By the remark in the proof of the converse all the elements of  $\bar{E}$  are maximal and so  $\bar{E} = E$ .

LEMMA 5.3. *If  $X$  is compact, then  $X$  is connected if and only if  $e(x) \equiv 1$  and  $e(x) \equiv -1$  are the only unit elements of  $C(X)$ .*

PROOF. (a) If  $V$  is a nontrivial open and closed set in  $X$ , then  $e(x) \equiv 1$  on  $V$  and  $e(x) \equiv -1$  on the complement of  $V$  is a unit element of  $C(X)$ .

(b) Suppose  $f \in C(X)$  is a unit element. Then  $\|f\| = \|0 + f\| = \|0\| + 1 = 1$ , and so  $|f(x)| \leq 1$  for all  $x$ . Now suppose that for some  $x_0 \in X$ ,  $|f(x_0)| < 1$ . There exists  $b \in C(X)$  such that  $\|b\| = 1$ ,  $b(x_0) = 1$ , and  $b(x) \equiv 0$  wherever  $f(x) = 1$ . Then  $\|b + f\| < 2$  which contradicts the hypothesis that  $f$  is a unit element. Thus if  $f$  is a unit element,  $|f(x)| \equiv 1$  for all  $x \in X$ . But  $X$  is connected and so either  $f(x) \equiv 1$  or  $f(x) \equiv -1$ .

Suppose  $B'$  is a Banach space with a unit element  $e$ , and  $B_1$  and  $B_2$  are positive hyperplanes of  $B'$ . For  $b_2 \in B_2$ , there is a unique  $b_1 \in B_1$  and  $\alpha \in R$  such that  $b_2 = b_1 + \alpha e$ . For  $\lambda_1 \in S_1$  we define  $[i(\lambda_1)](b_2) = \lambda_1(b_1) + \alpha$ . It is clear that  $i$  is a 1-1 map of  $S_1$  onto  $S_2$ .

LEMMA 5.4. *If  $\xi_1 \in S_1$  is a maximal functional of  $S_1$ , then  $i(\xi_1) \in S_2$  is a maximal functional of  $S_2$ .*

Proof. Let  $M_2 = (M(\xi_1) + Re) \cap B_2$ . For  $b_2 \in M_2$ ,  $[i(\xi_1)](b_2) = \xi_1(b_1) + \alpha \geq \lambda_1(b_1) + \alpha = [i(\lambda_1)](b_2)$  for all  $\lambda_1 \in S_1$ . Thus  $M\{i(\xi_1)\} \supset M_2$ . Suppose  $M(\lambda_2) \supset M(i(\xi_1))$ , and  $M(\lambda_2) \neq M(i(\xi_1))$ . Then  $M_1 = (M(\lambda_2) + Re) \cap B_1$  contains  $M(\xi_1)$  properly and moreover  $M(i^{-1}(\lambda_2)) \supset M_1$ . But this contradicts the maximality of  $\xi_1$  and so  $M(\lambda_2) = M(i(\xi_1))$  and  $i(\xi_1)$  is a maximal functional of  $S_2$ .

6. **A characterization of  $R_{2q}(X)$ .** Let  $G$  be a space with constants. For  $L \in S$ , we define the functional  $I_0(L): G'_0 \rightarrow R$  by  $[I_0(L)](a') = \alpha j^{-1}(L((1/\alpha) \times a))$  for  $|\alpha| > \|a'\|$ . The notation is that of §§3 and 4.

LEMMA 6.1.  $I_0(L)$  is uniquely defined.

Proof. The ambiguity of definition arises in the choice of  $\alpha$ . However, for  $|\gamma| \geq |\alpha|$ ,  $(\alpha/\gamma)((1/\alpha) \times a) = (\alpha/\gamma) \times ((1/\alpha) \times a) = (1/\gamma) \times a$ . Thus  $\gamma j^{-1}(L((1/\gamma) \times a)) = \gamma j^{-1}(L((\alpha/\gamma)((1/\alpha) \times a))) = \gamma j^{-1}((\alpha/\gamma)L((1/\alpha) \times a)) = \gamma j^{-1}(j((\alpha/\gamma)j^{-1}L((1/\alpha) \times a))) = \gamma((\alpha/\gamma)j^{-1}(L((1/\alpha) \times a))) = \alpha j^{-1}(L((1/\alpha) \times a))$ .

LEMMA 6.2. *The functional  $I_0(L)$  is an element of  $S_0$ , the set of positive linear functionals of  $G'_0$  (with respect to  $G'$ ).*

Proof. (1)  $[I_0(L)](a'_1 + a'_2) = \alpha j^{-1}(L((1/\alpha) \times (a_1 + a_2))) = \alpha j^{-1}(L((1/\alpha) \times a_1) + L((1/\alpha) \times a_2))$ . But we may choose  $\alpha > \|a'_1\| + \|a'_2\|$  which makes  $j^{-1}$  a homomorphism and so  $I_0(L)$  is a homomorphism.

(2)  $[I_0(L)]((\beta \times a)') = \alpha j^{-1}L((1/\alpha) \times (\beta \times a))$  where we may choose  $\alpha > \max[\|\beta\| \|a'\|, \|a'\|]$ . Then  $\alpha j^{-1}L((1/\alpha) \times (\beta \times a)) = \alpha j^{-1}L(\beta \times ((1/\alpha) \times a)) = \alpha j^{-1}L(\beta((1/\alpha) \times a)) = \alpha j^{-1}\beta L((1/\alpha) \times a) = \alpha j^{-1}(j(\beta j^{-1}(L((1/\alpha) \times a)))) = \beta(\alpha j^{-1}L((1/\alpha) \times a)) = \beta[I_0(L)](a')$  and so  $I_0(L)$  is linear.

(3) For  $a' + \beta e \in G'$ , choose  $\alpha > \|a'\| + |\beta|$  and put  $h = l^{-1}(j(\beta/\alpha))$ . Then  $|[I_0(L)](a') + \beta| = |\alpha j^{-1}(L((1/\alpha) \times a)) + \beta| = \alpha |j^{-1}(L((1/\alpha) \times a)) + \beta/\alpha| = \alpha |j^{-1}(L((1/\alpha) \times a) + j(\beta/\alpha))| = \alpha |j^{-1}(L((1/\alpha) \times a + h))| \leq \alpha \rho((1/\alpha) \times a + h) = \|a' + \beta e\|$  and so  $I_0(L) \in \mathcal{S}_0$ .

LEMMA 6.3. *The map  $I_0: S \rightarrow \mathcal{S}_0$  is a homeomorphism onto.*

**Proof.** (1) If  $I_0(L_1) = I_0(L_2)$ , then  $L_1 = L_2$  on  $G_0 \cap U_1$  and since  $G_0 \cap U_1$  generates  $G_0$ ,  $L_1 = L_2$  on  $G_0$ . But  $L_1 = L_2 = l$  on  $\overline{H}$  and so  $L_1 = L_2$  on  $G$ . Thus  $L_1 = L_2$  and  $I_0$  is 1-1.

(2) Suppose  $\lambda \in \mathcal{S}_0$ . Define  $\overline{L}: G \rightarrow R_{2q}$  by  $\overline{L}(a+h) = j(\lambda(a')) + l(h)$ .  $\overline{L}$  is certainly a homomorphism and so satisfies P'1. Moreover, if  $\rho(a+h) < 1$ ,  $\rho(h) < 1$  and  $\rho(a+h) = \|a' + (j^{-1}(l(h)))e\|$ . (See proof of Theorem 4.4.) Then  $1 > \rho(a+h) \geq |\lambda(a') + j^{-1}(l(h))| = |j^{-1}(j(\lambda(a')) + j^{-1}(l(h)))| = |j^{-1}(\overline{L}(a+h))|$  and so  $\overline{L}$  satisfies P'2. Thus by Theorem 3.2,  $\overline{L}$  is a character of  $G$ . Since  $\overline{L} = l$  on  $\overline{H}$ , by definition, we have  $\overline{L} \in S$ . But  $[I_0(\overline{L})](a') = \alpha j^{-1}\overline{L}((1/\alpha) \times a) = \alpha j^{-1}(j\lambda(((1/\alpha) \times a)')) = \alpha \lambda(((1/\alpha) \times a)') = \lambda(a')$  and so  $I_0(\overline{L}) = \lambda$  and  $I_0$  maps  $S$  onto  $\mathcal{S}_0$ .

(3) Since  $\mathcal{S}_0$  is compact (Lemma 5.2), and  $S$  is clearly Hausdorff, to show  $I_0$  is a homeomorphism we need only show that  $I_0^{-1}$  is continuous. Now for  $\tilde{\lambda} \in \mathcal{S}_0$ ,  $a_i \in G_0$ ,  $i = 1, \dots, n$ , and  $1 \geq \epsilon > 0$ ,  $V = \{L \in S \mid |j^{-1}(L(a_i) - [I_0^{-1}(\tilde{\lambda})](a_i))| < \epsilon\}$  is a basic neighborhood of  $I_0^{-1}(\tilde{\lambda})$  in  $S$ . We need choose the  $a_i$ 's only from  $G_0$  as for all  $L \in S$ ,  $L = l$  on  $\overline{H}$  and  $G = G_0 \oplus \overline{H}$ . Let  $V' = \{\lambda \in \mathcal{S}_0 \mid |\lambda(a'_i) - \tilde{\lambda}(a'_i)| < \epsilon\}$ . Thus  $V'$  is a neighborhood of  $\tilde{\lambda}$  in  $\mathcal{S}_0$ . If  $L \in I_0^{-1}(V')$  we have that

$$\begin{aligned} |j^{-1}(L(a_i) - [I_0^{-1}(\tilde{\lambda})](a_i))| &= |j^{-1}(L(\alpha_i((1/\alpha_i) \times a_i)) - [I_0^{-1}(\tilde{\lambda})](\alpha_i((1/\alpha_i) \times a_i)))| \\ &= |j^{-1}(\alpha_i(L((1/\alpha_i) \times a_i) - \alpha_i[I_0^{-1}(\tilde{\lambda})]((1/\alpha_i) \times a_i)))| \\ &= |j^{-1}j(\alpha_i j^{-1}L((1/\alpha_i) \times a_i) \\ &\quad - \alpha_i[I_0^{-1}(\tilde{\lambda})]((1/\alpha_i) \times a_i))| \\ &= |j^{-1}j([I_0(L)](a'_i) - \tilde{\lambda}(a'_i))| \\ &= |[I_0(L)](a'_i) - \tilde{\lambda}(a'_i)| < \epsilon, \end{aligned}$$

since  $j^{-1}j(\beta) = \beta$  if  $|\beta| < 1$ . Thus  $I_0^{-1}(V') \subset V$ ,  $I_0^{-1}$  is continuous and  $I_0$  is a homeomorphism.

We have immediately

THEOREM 6.1. *If  $G$  is a space with constants,  $S$  is compact.*

DEFINITION 6.1. For  $\overline{L} \in S$  and  $L_0 \in S$ , let  $N_0(\overline{L}) = \{a \in G_0 \cap U_1 \mid j^{-1}(\overline{L}(a)) \geq j^{-1}(L_0(a)) \text{ for all } L_0 \in S\}$ .

As in §5 we order the sets  $N_0(\overline{L})$  by inclusion.

DEFINITION 6.2.  $F \in S$  is a maximal  $G_0$  character if  $N_0(F)$  is a maximal set in the ordering.

The correspondence between  $N_0(\bar{L})$  and  $M(I_0(\bar{L}))$  {Definition 5.3} is quite direct.

LEMMA 6.4.  $M(I_0(\bar{L})) = \{a' \in G_0 \mid \text{for } \alpha > \|a'\|, (1/\alpha) \times a \in N_0(\bar{L})\}$ .

**Proof.**  $(I_0(\bar{L}))(a') = \alpha j^{-1}(\bar{L}((1/\alpha) \times a))$ . Since  $\alpha > 0$ ,  $(I_0(\bar{L}))(a') \geq (I_0(L))(a') \rightarrow j^{-1}(\bar{L}((1/\alpha) \times a)) \geq j^{-1}(L((1/\alpha) \times a))$  and the lemma follows as  $I_0$  maps  $S$  onto  $S_0$  (Lemma 6.3).

A corollary of Lemma 6.4, obtained by putting  $\alpha = 1$ , is

LEMMA 6.5.  $N_0(\bar{L}) = \{a \in G_0 \mid a' \in M(I_0(\bar{L})) \text{ and } \|a'\| < 1\}$ .

THEOREM 6.2.  $F$  is a maximal  $G_0$  character if and only if  $I_0(F)$  is a maximal functional of  $S_0$ .

**Proof.** (a) Suppose  $M(\lambda) \supset M(I_0(F))$ ; then, by Lemma 6.5,  $N_0(I_0^{-1}(\lambda)) \supset N_0(F)$ . But then if  $F$  is maximal,  $N_0(I_0^{-1}(\lambda)) \subset N_0(F)$  and, by Lemma 6.4,  $M(\lambda) \subset M(I_0(F))$ . Thus  $M(I_0(F))$  is maximal and  $I_0(F)$  is a maximal functional of  $S_0$ .

(b) Suppose  $N_0(L) \supset N_0(F)$ . Then Lemma 6.4 implies  $M(I_0(L)) \supset M(I_0(F))$ . But if  $I_0(F)$  is maximal,  $M(I_0(L)) \subset M(I_0(F))$  and by Lemma 6.5,  $N_0(L) \subset N_0(F)$ . Thus  $N_0(F)$  is maximal and  $F$  is a maximal  $G_0$  character.

The maximality of  $F \in S$  does not depend on the choice of  $L_0$ .

DEFINITION 6.3.  $F \in S$  is a maximal character if it is a maximal  $G_0$  character for all  $L_0 \in S$ .

THEOREM 6.3.  $F$  is a maximal character if it is a maximal  $G_0$  character for some  $L_0 \in S$ .

**Proof.** The theorem is an immediate consequence of Theorem 6.2 and Lemma 5.4.

The final characterization may now be given.

THEOREM 6.4. A group  $G$  is equivalent to  $R_{2q}(X)$  for some  $q \geq 1$  and for some compact, connected space  $X$  if and only if

- (1) there exists a unique, isomorphic, isometry,  $i_a: [0, \rho(a)] \rightarrow G$  such that  $i_a(\rho(a)) = a$ ,
- (2) the elements of  $\bar{H} \cap U_1$  are constants of  $G$ ,
- (3) the elements of  $\bar{H} \cap U_1$  are the only constants of  $G$ ,
- (4) for  $b \in G$ , there exists  $\bar{b} \in G$  such that  $j^{-1}\{F(\bar{b})\} = |j^{-1}\{F(b)\}|$  for all maximal characters  $F$  of  $S$ .

**Proof.** Suppose  $G$  is equivalent to  $R_{2q}(X)$  for  $q \geq 1$  and for  $X$  a compact, connected space. Conditions (1) and (2) follow from Theorems 2.1, 2.2, and 2.3. Condition (3) follows quickly since a constant of  $R_{2q}(X)$  must be of the form  $f(x) \equiv a \in U_1 \subset R_{2q}$  (see proof of Lemma 5.3) and so  $f \in \bar{H} \cap U_1$ . Now by

Theorems 4.3 and 4.4,  $G'$  is equivalent to  $C(X)$ . Then by Theorem 5.4, there exists a positive hyperplane  $\tilde{G}$  of  $G'$  such that  $G' = \tilde{G} \oplus Re$  and such that for each  $a' \in \tilde{G}$  and each  $\alpha \in R$ , there exists  $\bar{a}' \in \tilde{G}$  and  $\bar{\alpha} \in R$  such that for all maximal functionals  $\xi$  of  $S$ ,  $\xi(\bar{a}') + \bar{\alpha} = |\xi(a') + \alpha|$ . Let  $\lambda_0$  be the functional which defined  $\tilde{G}$ . We redefine  $G_0$  using the character  $L_0 = I_0^{-1}(\lambda_0)$ . Then  $G = G_0 \oplus \bar{H}$  and  $G'_0 = \tilde{G}$ . Now suppose  $b \in U_1$ . We have  $b = a + h$  where  $a \in G_0$  and  $h \in \bar{H}$ . Then there exist  $\bar{a}' \in G'_0$  and  $\bar{\alpha} \in R$  such that  $\xi(\bar{a}') + \bar{\alpha} = |\xi(a') + j^{-1}(l(h))|$ , for all maximal functionals  $\xi$ . Let  $\bar{b} = \bar{a} + j^{-1}(j(\bar{\alpha}))$ . Now by Theorems 6.2 and 6.3, the maximal characters  $F$  are exactly the elements  $I_0^{-1}(\xi)$  where  $\xi$  are the maximal functionals. Thus  $j^{-1}\{F(\bar{b})\} = j^{-1}\{I_0^{-1}(\xi)(\bar{b})\} = j^{-1}\{j(\xi(\bar{a}')) + j(\bar{\alpha})\} = j^{-1}j\{\xi(\bar{a}') + \bar{\alpha}\} = j^{-1}j|\xi(a') + j^{-1}(l(h))| = |j^{-1}j(\xi(a') + j^{-1}(l(h)))| = |j^{-1}(F(b))|$  and condition (4) is satisfied.

(b) If (1) and (2) are satisfied,  $G$  is a space with constants. Choosing any  $L_0 \in S$ , we have, as before,  $G = G_0 \oplus \bar{H}$ ,  $G' = G'_0 \oplus Re$ ,  $e$  is a unit element of  $G'$ , and  $G'_0$  is a positive hyperplane of  $G'$ . For each  $a' \in G'_0$ , and each  $\alpha \in R$ , choose  $\gamma > 4 \max(\|a'\|, |\alpha|)$  and let  $h = l^{-1}j(\alpha/\gamma)$  and  $b = (1/\gamma) \times a + h$ . By condition (4), there exists  $\bar{b} = \bar{c} + \bar{h} \in G$ , such that  $j^{-1}(F(\bar{b})) = |j^{-1}(F(b))|$  for all maximal characters  $F$ . Let  $\bar{a}' = (\gamma \times \bar{c})'$  and  $\bar{\alpha} = \gamma j^{-1}(l(\bar{h}))$ . Then for  $\xi$  a maximal functional of  $S_0$ ,  $\xi(\bar{a}') + \bar{\alpha} = (I_0(F))(\bar{a}') + \bar{\alpha} = \gamma j^{-1}(F((1/\gamma) \times (\gamma \times \bar{c}))) + \gamma j^{-1}(l(\bar{h})) = \gamma j^{-1}F(\bar{b}) = |\gamma j^{-1}(F(b))| = |\gamma j^{-1}F((1/\gamma) \times a) + \gamma j^{-1}l(h)| = |\xi(a') + \alpha|$ . Thus by Theorem 5.4,  $G'$  is equivalent to  $C(X)$  for some compact  $X$ . Moreover if  $a' + \alpha e$  is a unit of  $G'$ , then  $(1/\gamma) \times a + h$  is a constant of  $G$ . By condition (3),  $(1/\gamma) \times a + h \in \bar{H}$  and so  $a' = \theta$ . But then  $|\alpha|$  must equal 1 and so  $\pm e$  are the only unit elements of  $G'$ . But  $e(x) \equiv 1$  and  $e(x) \equiv -1$  are unit elements of  $C(X)$  and so  $e$  must map into either  $e(x) \equiv 1$  or  $e(x) \equiv -1$  under the equivalence and these are the only unit elements of  $C(X)$  and so  $X$  is connected (Lemma 5.3). Finally by Theorems 4.4 and 4.3,  $G$  is equivalent to  $R_{2q}(X)$ .

## 7. The homeomorphism theorem.

**THEOREM 7.1.** *If  $X$  and  $Y$  are compact, and if  $R_{2q_1}(X)$  is equivalent to  $R_{2q_2}(Y)$ , then  $q_1 = q_2$  and  $X$  is homeomorphic to  $Y$ .*

**Proof.** Let  $T: R_{2q_1}(X) \rightarrow R_{2q_2}(Y)$  be the equivalence. Choose a positive integer  $n$  such that  $2q_1/n < 1$ . Then  $f_1 \equiv j(2q_1/n) \in R_{2q_1}(X)$ ,  $nf_1 = \theta$  and  $\rho(f_1) = 2q_1/n < 1$ . Thus  $n(Tf_1) = \theta$ , and  $\rho(Tf_1) = 2q_1/n$ . Since  $Y$  is compact, there exists  $y_0 \in Y$  such that  $j^{-1}((Tf_1)(y_0)) = \pm 2q_1/n$ . But  $n((Tf_1)(y_0)) = \theta$  so that  $nj^{-1}((Tf_1)(y_0)) = 2mq_2$ , where  $m$  is an integer. Thus  $q_1 = \pm mq_2$  and  $q_1$  is an integer multiple of  $q_2$ . The exact same proof, using  $T^{-1}$ , gives that  $q_2$  is an integer multiple of  $q_1$ . Since  $q_1$  and  $q_2$  are both positive we have that  $q_1 = q_2$ .

Now define the mapping  $T^*: C(X) \rightarrow C(Y)$  by

$$(T^*\sigma)(y) = \gamma[j^{-1}\{T(j((1/\gamma)\sigma))(y)\}]$$

for  $\gamma > \|\sigma\|$ . It is easy to verify that  $T^*$  is a uniquely defined, linear, norm-

preserving map of  $C(X)$  onto  $C(Y)$ . By the Banach-Stone theorem [10],  $X$  is homeomorphic to  $Y$ .

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